



*PPC*  
Docket No.: SONYJP 3.0-1211  
(PATENT)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: MS Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Dated: May 15, 2007 Signature: *Robert B. Cohen*  
(Robert B. Cohen)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
Maeda et al.

Application No.: 09/048,076

Filed: March 26, 1998

Art Unit: 2741

For: VECTOR SEARCH METHOD

**RENEWED PETITION FOR REVIVAL OF AN APPLICATION**  
**FOR PATENT ABANDONED UNINTENTIONALLY**  
**PURSUANT TO 37 C.F.R. § 1.137(b)**

MS Petition  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**RECEIVED**

Attention: Paul Shanoski

**MAY 22 2007**

Dear Sir:

**OFFICE OF PETITIONS**

Petitioner, Sony Corporation ("Sony"), the assignee of the above-referenced application, hereby renews its petition for revival of this application. Petitioner submits the following comments in support of this renewed petition.

On June 22, 2006, Petitioner filed a Petition for Revival of an Application for Patent Abandoned Unintentionally. In the Office of Petitions' Decision mailed December 19, 2006, the petition was dismissed because it was believed that the Petitioner had not established to the satisfaction of the Director that the entire period of delay was unintentional.

Petitioner was advised of the dismissal of the Petition by its undersigned counsel. Additionally, the prior

attorneys of record, Cooper & Dunham, LLP ("Cooper and Dunham") were contacted to request their assistance in filing a Renewed Petition. In particular, Cooper & Dunham was advised of the requirement by the PTO to establish the events that led to the unintentional abandonment of the application, including the submission of docketing records and other records that would support the averments made in the original Petition.

It is clear from the following facts that this application was abandoned unintentionally. Submitted in support of this renewed petition are the Declaration of Phyllis Kelly, Manager of U.S. Patent and Trademark Administration for Petitioner's present counsel, attached hereto as Exhibit I, the Declaration of Jay Maioli, the attorney at Cooper & Dunham who previously handled the prosecution of this application, attached hereto as Exhibit II, and the Declaration of Fumihiko Moriya, Senior General Manager, Intellectual Property Division of Petitioner Sony Corporation, attached hereto as Exhibit III. Ms. Kelly describes how the unintentional abandonment of this application was discovered, as well as the steps that were taken by Petitioner to timely file the initial Petition to Revive.

Mr. Maioli states that he was an attorney of record at the time the instant application went abandoned and provides the facts relating to the unintentional abandonment of this application. Mr. Maioli also provides details of the docketing systems and other procedures in place at the time of the abandonment, as well as the Declaration of Michelle Metri, a docketing clerk at Cooper & Dunham.

Mr. Moriya describes how Sony relies on its local agents in each country, including Mr. Maoili in the United States, to take the steps necessary to obtain issuance of a

patent in a timely fashion, and that Sony had no intention to abandon the present application. It is respectfully requested that the PTO review the following information and allow this application to pass to issuance.

In December, 2005, Sony requested that Cooper & Dunham, the prior attorneys of record, forward its file in connection with the above-identified application to the undersigned attorneys for handling of all further prosecution. (Kelly Declaration, ¶ 2; Maioli Declaration, ¶ 3; Moriya Declaration, ¶ 5) Upon receipt of the file, the undersigned attorneys promptly reviewed the file. (Kelly Declaration, ¶ 6) Upon reviewing the file, it was determined that the issue fee had been paid by Cooper & Dunham, but it did not appear that a patent issued thereon. Upon contacting the PTO, undersigned counsel was advised that the status of the application was "abandoned" due to the failure to submit formal drawings. (Kelly Declaration, ¶ 7) The Petitioner was contacted shortly thereafter to advise of the abandonment and to obtain instructions from the Petitioner. (Moriya Declaration, ¶ 6) Instructions to file a Petition to Revive were received from the Petitioner. (Kelly Declaration, ¶ 9; Moriya Declaration ¶ 7) The following sets forth the facts regarding the prosecution and handling of this application as known to Petitioner and/or its counsel.

On July 27, 2000, a Notice of Allowance was mailed to Cooper & Dunham, with a request for new formal drawings. (Maioli Declaration, ¶ 7) The Notice of Allowance was docketed by Cooper & Dunham in accordance with the firm's standard procedure. (Maioli Declaration, ¶¶ 8, 15; Metri Declaration, ¶¶ 6, 7) Cooper & Dunham paid the issue fee on October 23, 2000, via first-class mailing. (Maioli Declaration, ¶ 11) The drawings were not submitted, although Mr. Maioli had intended to submit the drawings as stated in a

communication to the PTO on May 15, 2000. (Maioli Declaration, ¶ 13)

On February 12, 2001, the PTO issued a Notice of Abandonment for failure to timely file new formal drawings. The Notice was docketed by a docket clerk for Cooper & Dunham to respond to the Notice. (Maioli Declaration, ¶ 16) However, Mr. Maioli, as a result of oversight, unintentionally failed to timely file the formal drawings or a response to the Notice of Abandonment. (Maioli Declaration, ¶ 19)

During the years 2000 and 2001, Cooper & Dunham did not have a procedure for monitoring the issuance of patents, nor did Mr. Maioli have any personal procedure for monitoring the issuance of patents for which he was responsible. (Maioli Declaration, ¶ 20). Additionally, Mr. Maioli believed that all the requirements for grant of a patent had been met and that it was Sony's intent not to abandon the application. (Maioli Declaration, ¶ 23)

It is clear from the facts stated in this Renewed Petition and the attached Declaration of Phyllis Kelly that, given the large volume of files that were reviewed during the intake, there was no inaction on the part of Petitioner's new attorneys, and that every step had been made to process the files in a diligent manner. It is further clear from the Declaration of Ms. Kelly and from the Declaration of Mr. Moriya that any delay in submitting the formal drawings was unintentional. The Declaration of Mr. Maioli further provides an adequate and detailed showing that the delay did not result from a deliberate course of action. Mr. Maioli sets forth the circumstances surrounding the lack of a reply to the PTO's request for formal drawings, as well as the Notice of Abandonment.

It is believed that the requirements for a grantable petition under 37 C.F.R. 1.137(b) have been met.

Petitioner therefore petitions for revival of this application based on unintentional delay. It is respectfully requested that the present petition be granted and the application issue as a patent.

Dated: May 15, 2007

Respectfully submitted,

By Robert B. Cohen

Robert B. Cohen

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Docket No.: SONYJP 3.0-1211  
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
Maeda et al.

Application No.: 09/048,076

Filed: March 26, 1998

Art Unit: 2741

For: VECTOR SEARCH METHOD

RECEIVED

DECLARATION OF PHYLLIS KELLY

MAY 22 2007

MS Petition  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

OFFICE OF PETITIONS

Attention: Paul Shanoski

Dear Sir:

I, PHYLLIS KELLY, declare as follows:

1. I am employed as the Manager of U.S. Patent and Trademark Administration of Lerner, David, Littenberg, Krumholz & Mentlik, LLP, 600 South Avenue, Westfield, New Jersey. I have been employed by the firm for over 29 years.

2. In December of 2005, I was advised by some of the partners in the firm that the Assignee of the above-captioned application, Sony Corporation, had requested the transfer of a significant portion of their patent prosecution matters from the firm of Cooper & Dunham to us. I was advised we would be receiving over 600 files relating to U.S. patent applications and patents.

3. Between approximately January 5, 2006 and April 4, 2006, we received over 800 files from Cooper & Dunham. On January 5, 2006, as part of the transfer of these Sony files, we received from Cooper & Dunham 105 boxes of files containing approximately 750 files. The file for the application identified

above was contained in one of the boxes received on January 5, 2006. Attached hereto as Exhibit A is a copy of an Excel spreadsheet provided to us by Sony Corporation relating to the files we would be receiving from Cooper & Dunham. The record for the above file can be found on page 7, item 787 (highlighted in yellow). This spreadsheet indicates the file was received ("Rec'd?") on January 5, 2006.

4. I was responsible for managing the intake of these files, which included maintaining various records and lists, confirming the receipt of the files, assignment of Lerner, David file numbers, review of the contents of the files, examination of the accuracy of various documents (i.e., filing receipts and assignment recordation notices), and docketing of each file in the firm's computerized docketing system.

5. In managing the intake of the files, four assistants on my staff were supervised by me on various steps I had established for the intake. I instructed them to immediately process all files which had outstanding office actions or needed immediate attention for any reason. The files were sorted on this basis and other bases relating to the status of each file, e.g., newly filed applications were reviewed for purposes of determining if a preliminary amendment would be required; files were reviewed to determine if an IDS needed to be filed; abandoned applications were reviewed to determine if the abandonment was proper and that the file contained confirmation from Sony of their intent to abandon, etc. Also, the PTO PAIR database was accessed to review the status of various applications.

6. In managing the intake, I instructed my assistants regarding the priority of the review of the files. Each file was initially subjected to a brief review to determine the status of the application to which it pertained. Applications for which there was an outstanding office action were then

reviewed in detail and processed first. The remaining files were subsequently processed on the basis of the status of the application, with issued patents and abandoned applications being reviewed last. Of the over 800 files received from Cooper & Dunham, there were approximately 575 files related to pending patent applications. The remaining approximately 265 files were either issued patents or abandoned applications. According to the attached spreadsheet (Exhibit A, at page 7, item 787), this application was reviewed ("Rev'd?") on January 7, 2006 by one of my assistants.

7. Our firm uses a system of file "Case Notes" in which comments particular to a file are memorialized within the file on a running basis. Attached hereto as Exhibit B is a copy of the Case Notes for this file. After reviewing the file and determining that no patent appeared to have issued even though the issue fee had been paid, one of my assistants, "Jodi," made a notation in the Case Notes of her findings. As can be seen, the Case Notes indicate that, after consulting with an employee in the PTO on January 11, 2006, it was learned that the application had been abandoned due to the failure to submit the formal drawings.

8. As part of the review of the files, and as noted above, the application was docketed to file a Petition to Revive, with an arbitrary due date of April 1, 2006. This date was an estimate for performing any further review, contacting Sony for their advice and instructions, obtaining information from Cooper & Dunham to establish the facts relating to the abandonment and for preparation of a Petition.

9. During our continuing review of the files over an approximately three month period, I periodically reported to the partners regarding the status of the intake and some of our findings. Upon learning that this application was abandoned, I

advised Robert Cohen, a partner in our firm who was overseeing the intake of these Sony files from Cooper & Dunham, of what appeared to be an unintentional abandonment, and requested his further instructions. Mr. Cohen advised me that Sony should be informed of our findings and asked whether they intended to abandon the application. A copy of such letter from Mr. Cohen to Mr. Nakamura of Sony dated March 8, 2006 is attached hereto as Exhibit C. Attached hereto at Exhibit D is a copy of a letter dated May 30, 2006 from Mr. Moriya of Sony, authorizing the undersigned attorneys to prepare and file a Petition to Revive.

10. Upon receipt of Mr. Nakamura's letter instructing us to prepare a Petition to Revive, I began drafting such a petition on June 7, 2006 for Mr. Cohen's review. Attached hereto as Exhibit E is a copy of the "history" in our word processing program for document number 664809, the document which was filed as the Petition to Revive on June 22, 2006. Exhibit E shows the document was created on June 7, 2006, as well as the other dates the document was viewed and modified prior to being filed in the PTO on June 22, 2006.

11. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that any such willful false statements may jeopardize the validity of this application or any patent issuing therefrom.

Dated: May 15, 2007



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PHYLLIS KELLY

No.	SONY Ref.	DLI KM file	C&D Ref.	App No.	Patent No.	Rec'd?	Rev'd?	IPDAS	R&SA	Sent to SONY	Filed in PTO
631	S91P0180US00	3.0-1174	388619	07/681902		5-Jan	18-Jan				
629	S91P0120US00	3.0-1173	388821	07/669604		1/5	1/27				
633	S91P0299US00	3.0-1176	39019	07/710133							
641	S91P0375US00	3.0-1179	39250	07/728361		1/5	1/27				
645	S92P0086US00	3.0-1181	40393	07/829291		11/3	1/28				
650	S92P0342US00	3.0-1183	40968	07/883772							
764	S96P0903US00	3.7-1203	51887	08/736546		NP					
773	S97P0776US00	3.0-1207	54019	924091	6289253	5-Jan	15-Jan				
775	S97P0776US02	3.0-1207 DIV II	54019	09/804008		1/5	1/28				
780	S97P0980US00	3.0-1208	54663	957933	6195129	5-Jan	15-Jan				
781	S97P0980US01	3.0-1208 DIV	54663 - 2	09/749212		1/5	1/28				
806	S98P1062US00	3.3-1222	57089	09/319093		5-Jan	15-Jan				
817	S99P0173US00	3.0-1227	57715	09/247910	6477506						
821	S99P0186US00	3.3-1229	57722	09/403312		5-Jan	9-Jan				
822	S99P0296US00	3.0-1230	57881	09/272613		5-Jan	9-Jan				
823	S99P0312US00	3.3-1231	57889	09/424684		5-Jan	18-Jan				
827	S99P0526US00	3.3-1234	58775	09/462789		5-Jan	9-Jan				
828	S99P0618US00	3.3-1235	58817	09/485146		5-Jan	18-Jan				
829	S99P0828US00	3.3-1236	59253	09/508073	69668062	1/5	1/27				
832	S99P1048US00	3.0-1239	59659	09/396318	6443482	5-Jan	9-Jan				
837	S99P1218US00	3.3-1241	60007	09/581271		5-Jan	18-Jan				
838	S99P1245US00	3.0-1242	60014	09/427120	6542870	5-Jan	9-Jan				
841	S99P1326US00	3.3-1244	60186	09/600217		5-Jan	18-Jan				
846	S99P1348US00	3.0-1246	60194	09/449976		1/5	1/28	→ 1/28			
847	S99P1379US00	3.3-1247	60196	09/600925		5-Jan	18-Jan				
3	S00P0085US00	3.0-701	60613	09/647444		5-Jan	18-Jan				
8	S00P0285US00	3.0-705	61065	09/521177	6868494						
22	S00P0589US00	3.3-710	61470	09/744279	6944180	5-Jan	24-Jan				
29	S00P0960US00	3.3-714	62361	09/807635	6954412	1/5	1/30				
31	S00P0962US00	3.0-715	62363	09/638164		5-Jan	12-Jan				
46	S00P1178US00	3.0-722	62372	09/64911		10-Jan	10-Jan				
40	S00P1072US00	3.0-720	62589	09/656152	6882618						
51	S00P1245US00	3.3-726	62896	09/857535	6820274						
62	S00P1372US00	3.3-731	63007	09/869816		1/5	1/28				

No.	SONY Ref.	LDLKM file	C&D Ref.	App No.	Patent No.	Rec'd?	IPDAS?	R&SA Sent to SON	Filed in PTO
65	S00P1386US00	3.0-733	63010	09/869995		5-Jan	27-Jan		
67	S00P1402US00	3.3-735	63014	09/889291	6971024	5-Jan	9-Jan		
72	S00P1517US00	3.3-738	63310	09/890844		5-Jan	18-Jan		
78	S00P1573US00	3.0-742	63317	09/914309		5-Jan	18-Jan		
82	S01P0052US00	3.3-744	63437	09/914976		29-Dec	✓		
83	S01P0069US00	3.3-745	63439	09/936855		5-Jan	25-Jan		
434	S03P0362US00	3.3-990	68832	10/475161		5-Jan	25-Jan		
492	S03P1499US00	3.3-1045	71071	10/534,609		29-Dec	✓		
481	S03P1428US00	3.3-1034	71419	10/537,637		5-Jan	18-Jan		
551	S04P1080US00	3.3-1099	73796	10/528543		10-Jan	10-Jan		
595	S05P0442US00	3.0-1143	73853	11/102317		11/5	17/5		
601	S05P0498US00	3.0-1149	73859	11/102154		11/9	11/28		
637	S91P0320US00	3.0-1178	88022764	07/715644		11/5	11/2		
625	S84P0210US01	3.0-1172 RE	33198 RE	07/324905	RE33497	5-Jan	9-Jan		
626	S84P0210US02	3.0-1172 RE DIV	33198-RE Z	550945		5-Jan	7-Jan		
627	S84P0210US03	3.0-1172 RE D C	33198-RE-Z-A	07/985348		5-Jan	9-Jan		
628	S84P0210US04	3.0-1172 RE D C C	33198-RE-Z-B	08/356775					
630	S91P0120US01	3.0-1173 CONT	38821-A	132781	5379151				
643	S91P0433US00	3.0-1180	39347	07/741056					
653	S92P0863US01	3.0-1184 CONT	42411A	245059		5-Jan	25-Jan		
716	S93P0659US04	3.0-1187 DIV IV	44317-WA	08/247,288		5-Jan	25-Jan		
715	S93P0659US03	3.0-1187 DIV III	44317-X	08/247284		5-Jan	25-Jan		
714	S93P0659US02	3.0-1187 DIV II	44317-Y	247292		(O) Jan	28-Jan		
713	S93P0659US01	3.0-1187 DIV I	44317-Z	08/247010		5-Jan	25-Jan		
738	S95P0178US07	3.0-1191 DIV RE	47270-Z-RE	10/007104		11/5	1/25		
778	S97P0776US05	3.0-1207 DIV I CIP II	54019-V	11/111363		5-Jan	18-Jan		
777	S97P0776US04	3.0-1207 DIV I CIP I	54019-W	11/111371		5-Jan	18-Jan		
776	S97P0776US03	3.0-1207 DIV I CINT	54019-X	11/111309		5-Jan	18-Jan		
774	S97P0776US01	3.0-1207 DIV I	54019-Z	09/672844		5-Jan	27-Jan		
796	S98P0813US00	3.0-1216	56577	132252	6297931	5-Jan	12-Jan		
811	S99P0066US01	3.0-1224 DIV	57610-Z	10/428180		26-Jan	28-Jan		
839	S99P1245US01	3.0-1242 DIV	60014-Z	10/101656		5-Jan	7-Jan		
844	S99P1332US02	3.3-1245 DIV II	60188-Y	09/923618		5-Jan	24-Jan		
9	S00P0285US01	3.0-705 DIV	61065-Z						

## Best Available Copy

No.	SONY Ref.	LDLKM file	C&D Ref.	App No.	Patent No.	Rec'd?	Rev'd?	IPDAS	R&SA	Sent to SONY/Filed in PTO
23	S00P0589US01	3.3-710 CONT	61470-Z	11/114974	5-Jan	24-Jan				
28	S00P0959US01	3.3-713 DIV	62360-Z	10/937822						
30	S00P0960US01	3.3-714 DIV	62361-Z	10/863904						
36	S00P0996US01	3.0-718 CONT	62370-Z	11/261,841	5-Jan	18-Jan				
854	S99P1549US01	3.3-1250 DIV	62896-Z	10/926743						
57	S00P1265US04	3.0-727 CONT IV	62902-W		1/15	1/17				
56	S00P1265US03	3.0-727 CONT III	62902-X	11/116718	1/15	1/17				
55	S00P1265US02	3.0-727 CONT II	62902-Y	11/116758	5-Jan	26-Jan				
54	S00P1265US01	3.0-727 CONT I	62902-Z	11/116726	5-Jan	26-Jan				
61	S00P1318US00	3.0-730	62914	09/869512	5-Jan	18-Jan				
63	S00P1372US01	3.3-731 CONT	63007-Z	11/185,254	5-Jan	27-Jan				
68	S00P1402US01	3.3-735 DIV	63014-Z	11/053075	5-Jan	26-Jan				
228	S01P1582US01	3.3-837 DIV	65715-Z	10/928538	6908238	5-Jan	12-Jan			
237	S01P1655US03	3.0-841 CONT III	65951-X		5-Jan	27-Jan				
235	S01P1655US01	3.0-841 CONT I	65951-Z		5-Jan	27-Jan				
258	S01P1867US03	3.0-855 ---	66058-X							
257	S01P1867US02	3.0-855 ---	66058-Y							
256	S01P1867US01	3.0-855 ---	66058-Z							
320	S02P0338US04	3.3-896 CONT IV	6633/66570-W	11/118161						
319	S02P0338US03	3.3-896 CONT III	6633/66570-X	11/119011						
318	S02P0338US02	3.3-896 CONT II	6633/66570-Y	11/106955						
317	S02P0338US01	3.3-896 CONT I	6633/66570-Z	11/106939						
791	S98P0413US00	3.7-1213	6640/55810	09/202563						
804	S98P0950US00	3.3-1221	6640/56841	09/297448	6714920	5-Jan	16-Dec	1/16	1	
805	S98P0950US01	3.3-1221 DIV	6640/56841-Z	10/104558						
830	S99P0913US00	3.3-1237	6640/59442	09/529269	5-Jan	7-Jan				
37	S00P1001US00	3.3-719	6640/61819	09/744429						
39	S00P1001US02	3.3-719 CONT II	6640/61819-Y	11/109057						
38	S00P1001US01	3.3-719 CONT I	6640/61819-Z	11/109051						
47	S00P1180US00	3.3-723	6640/62113	09/762424						
49	S00P1201US00	3.3-725	6640/62669	09/807187						
50	S00P1201US01	3.3-725 CONT	6640/62669-Z	11/115996						
315	S02P0337US01	3.3-895 CONT	6640/66569-Z	11/106769	5-Jan	18-Jan				
338	S02P0390US05	3.3-906 CONT V	6640/66581-U	11/144224						
					1/15	1/36				

No.	SONY Ref.	DLKM file	C&D Ref.	App No.	Patent No.	Rec'd?	Rev'd?	IPDAS	R&SA Sent to SONY Filed in PTO
337	S02P0390US04	3.3-906 CONT IV	6640/66581-W	11/114513		S-Jan	27-Jan		
336	S02P0390US03	3.3-906 CONT III	6640/66581-X	11/114682		1/5	1/30		
335	S02P0390US02	3.3-906 CONT II	6640/66581-Y	11/114281		1/5	1/30		
334	S02P0390US01	3.3-906 CONT I	6640/66581-Z	11/114973		1/5	1/30		
388	S02P0905US00	3.3-948	6640/67557	10/482,324		5-Jan	18-Jan		
462	S03P1090US00	3.3-1016	6640/69679	10/493664		5-Jan	15-Jan		
842	S99P1332US00	3.3-1245	6715/60188	09/600509		1/3	1/28	VOL. 2	BOX 34B <del>SEARCHED</del>
845	S99P1332US03	3.3-1245 DIV III	6715/60188-X	10/236561		17-Jan	17-Jan		
843	S99P1332US01	3.3-1245 DIV I	6715/60188-Z	09/923702		5-Jan	24-Jan		
4	S00P0204US00	3.0-702	6715/60750	09/674106		5-Jan	18-Jan		
27	S00P0959US00	3.3-713	6715/62360	09/807631	6853613	5-Jan	12-Jan		
34	S00P0987US00	3.3-717	6715/62369	09/830312		5-Jan	7-Jan		
169	S01P0985US00	3.3-797	6715/64521	09/980867		5-Jan	7-Jan		
190	S01P1146US00	3.3-808	6715/64968	10/088,366		5-Jan	17-Jan		
223	S01P1570US00	3.3-834	6715/65711	10/149723	6739368	5-Jan	21-Jan		
224	S01P1570US01	3.0-834 DIV	6715/65711-Z	10/824166		5-Jan	21-Jan		
260	S01P1868US01	3.3-856 DIV	6715/66059-Z	11/043635					
268	S01P1904US00	3.3-863	6715/666067	10/220194		5-Jan	21-Jan		
275	S02P0058US00	3.3-869	6715/66289	10/220677		5-Jan	21-Jan		
287	S02P0196US00	SONYAK 3.3-1251	6715/66544	10/257427		5-Jan	21-Jan		
288	S02P0196US01	SONYAK 3.3-1251 CONT	6715/66544-Z	11/170270		5-Jan	21-Jan		
487	S03P1480US00	3.3-1040	6715/71171	10/504341		5-Jan	15-Jan		
642	S91P0375US01	3.0-1179 CONT	7217/36250-A	120318	5389040	1/13	1/28		
634	S91P0299US01	3.0-1176 CONT	7217/39019	129571	5311373	1/5	1/27		
638	S91P0320US01	3.0-1178 CONT	7217/39025-A	08/022764					
639	S91P0320US02	3.0-1178 CO CO	7217/39025-B	08/259346	5539976	5-Jan	9-Jan		
640	S91P0320US03	3.0-1178 CO CO DI	7217/39025-Z	447829	5528825				
644	S91P0433US01	3.0-1180 CONT	7217/39347-A	08/090487	5537106	5-Jan	9-Jan		
646	S92P0086US01	3.0-1181 CONT	7217/40393A	08/173144	5475447	5-Jan	9-Jan		
651	S92P0342US01	3.0-1183 CONT	7217/40968-A	163404	5452370				
652	S92P0863US00	3.0-1184	7217/42411	07/985090					
654	S92P0863US02	3.0-1184 CO CON	7217/42411-B	08/502265	5537152	5-Jan	25-Jan		
664	S93P0172US00	3.0-1186	7217/42914	08/026415	5-Jan	11-Jan			
704	S93P0172US40	3.0-1186 PP1DCCDD	7217/42914	09/729287	6438325	5-Jan	4-Jan		

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665	S93P0172US01	3.0-1186 CIP	7217/42914-A	08/329546	5583591	5-Jan	11-Jan			
677	S93P0172US13	3.0-1186 CIP RE	7217/42914A-RE	08/865113	RE36877	5-Jan	11-Jan			
672	S93P0172US08	3.0-1186 CIP DIV	7217/42914A-Z	08/721619	5758215	5-Jan	11-Jan			
666	S93P0172US02	3.0-1186 CONT	7217/42914-B	08/333593	5625430	5-Jan	11-Jan			
667	S93P0172US03	3.0-1186 CONT DIV	7217/42914-C	426113	5570147	5-Jan	11-Jan			
702	S93P0172US38	3.0-1186 CDDDD3D2	7217/42914C-R	09/627525	6349176	5-Jan	11-Jan			
694	S93P0172US30	3.0-1186 CDDDDIII	7217/42914C-S	09/404878	6173124	5-Jan	11-Jan			
708	S93P0172US44	3.0-1186 CDDDD3DD	7217/42914C-T	09/903538	6600880	5-Jan	11-Jan			
701	S93P0172US37	3.0-1186 CDDDD3D1	7217/42914C-U	09/585073	6256084	5-Jan	11-Jan			
710	S93P0172US46	3.0-1186 CDDDD3DD	7217/42914CV	10/037235	6571067	5-Jan	11-Jan			
688	S93P0172US24	3.0-1186 CDDDDI	7217/42914C-W	09/295321	6035143	5-Jan	11-Jan			
690	S93P0172US26	3.0-1186 CDDDDII	7217/42914C-X	09/295863	6041191	5-Jan	11-Jan			
681	S93P0172US17	3.0-1186 CDDDD	7217/42914C-Y	958839	5978067	5-Jan	11-Jan			
668	S93P0172US04	3.0-1186 CIP CIP I	7217/42914-D	444681	5600386					
679	S93P0172US15	3.0-1186 PPDIVP	7217/42914D-A	08/948528	6006042	5-Jan	11-Jan			
682	S93P0172US18	3.0-1186 PPIDCO	7217/42914D-B	09/039823	5960220	5-Jan	11-Jan			
692	S93P0172US28	3.0-1186 ...	7217/42914D-C	09/328810	6115558	5-Jan	11-Jan			
693	S93P0172US29	3.0-1186 PPIDCC	7217/42914D-D	09/353580	6151456	5-Jan	11-Jan			
698	S93P0172US34	3.0-1186 PPIDCCD	7217/42914D-E	09/492012	6154616	5-Jan	14-Jan			
709	S93P0172US45	3.0-1186 PPIDCCDD	7217/42914D-G	10/037193	6574440	5-Jan	14-Jan			
680	S93P0172US16	3.0-1186 CIPCIPIRE	7217/42914D-RE	950173	RE36753					
691	S93P0172US27	3.0-1186 PPIDCD	7217/42914D-Y	09/309666	6078757	5-Jan	14-Jan			
676	S93P0172US12	3.0-1186 CIPCIPIDIV	7217/42914D-Z	756599	5729777	5-Jan	14-Jan			
669	S93P0172US05	3.0-1186 CIP CIP II	7217/42914-E	429225	5742854	5-Jan	14-Jan			
670	S93P0172US06	3.0-1186 CIP CIP III	7217/42914-F	08/45772	5652643	5-Jan	14-Jan			
674	S93P0172US10	3.0-1186 CIPCIPIII CIP	7217/42914-F-A	08/748802	5752114	5-Jan	12-Jan			
684	S93P0172US20	3.0-1186 PP3PC	7217/42914-F-B	09/069631	5946513	5-Jan	12-Jan			
686	S93P0172US22	3.0-1186 PP3DDC	7217/42914-F-C	09/175123	6058272	5-Jan	12-Jan			
687	S93P0172US23	3.0-1186 PP3CC	7217/42914F-D	09/179215	6064833	5-Jan	12-Jan			
696	S93P0172US32	3.0-1186 PP3PCCC	7217/42914F-E	09/420404	6088544	5-Jan	12-Jan			
700	S93P0172US36	3.0-1186 PP3PCDD	7217/42914F-F	09/573191	6366337	5-Jan	12-Jan			
707	S93P0172US43	3.0-1186 PP3DDCDDDD	7217/42914F-G	09/833820	6778774	5-Jan	13-Jan			
711	S93P0172US47	3.0-1186 PP3DDCDDDD	7217/42914F-H	10/882051	5-Jan	14-Jan				
705	S93P0172US41	3.0-1186 PP3CDDCDDDD	7217/42914F-Q	09/799699	6583851	5-Jan	14-Jan			

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699	S93P0172US35	3.0-1186 PP3DDCDD2	7217/42914F-R	09/553181	6347193	5-Jan	13-Jan			
695	S93P0172US31	3.0-1186 PP3DDCDD1	7217/42914F-S	09/404708	6434339	5-Jan	13-Jan			
703	S93P0172US39	3.0-1186 PP3CDCCDD3	7217/42914F-T	09/631599	6463217	5-Jan	14-Jan			
689	S93P0172US25	3.0-1186 PP3DDCDD	7217/42914F-W	09/293003	6226462	5-Jan	13-Jan			
683	S93P0172US19	3.0-1186 PP3DIVDIV	7217/42914F-X	09/062,477	5950024	5-Jan	13-Jan			
706	S93P0172US42	3.0-1186 PP3PCCDDD	7217/42914-FY	09/805537	6470152	5-Jan	12-Jan			
673	S93P0172US09	3.0-1186 CIPCIIDIV	7217/42914F-Z	08/726581	5742855	5-Jan	13-Jan			
675	S93P0172US11	3.0-1186 CONTCONT	7217/42914-G	08/714695	5-Jan	13-Jan				
678	S93P0172US14	3.0-1186 COOCOCO	7217/42914G-A	851412	5752109					
685	S93P0172US21	3.0-1186 CCCC	7217/42914G-B	09/074291	6122452	5-Jan	13-Jan			
697	S93P0172US33	3.0-1186 CCCCCD	7217/42914G-C	09/422717	6061529	5-Jan	13-Jan			
671	S93P0172US07	3.0-1186 CDD	7217/42914-Z	08/645030	5721991	5-Jan	11-Jan			
712	S93P0659US00	3.0-1187	7217/44317	133838	5402183	115	118	-NEED	New Labels	Dutton wrong
718	S93P0659US06	3.0-1187 DIV IV CONT	7217/44317-W-A	517805	5579523	5-Jan	25-Jan			
717	S93P0659US05	3.0-1187 DIV III CONT	7217/44317-X-A	506225	5594907	5-Jan	25-Jan			
719	S93P0659US07	3.0-1187 DIV II CONT	7217/44317-Y-A	08/524772		5-Jan	25-Jan			
722	S93P0659US10	3.0-1187 DIICC	7217/44317-Y-B	890281	5731764					
720	S93P0659US08	3.0-1187 DIV I CONT	7217/44317ZA	520475	5617571	5-Jan	25-Jan			
721	S93P0659US09	3.0-1187 DICD	7217/44317ZA	822274	5857115	115	115			
725	S94P0194US02	3.0-1188 DIV	7217/45217-Y	826476	6046734	5-Jan	25-Jan			
726	S95P0067US00	3.0-1189	7217/46645	392525		5-Jan	25-Jan			
728	S95P0067US02	3.0-1189 CONT	7217/46645-A	891286	5870360	113	118			
727	S95P0067US01	3.0-1189 DIV <del>08/689732</del>	7217/46645-Z	08/689732		115	118			
736	S95P0178US05	3.0-1191 DIV DIV III	7217/47170-V	195082	6138039	5-Jan	25-Jan			
735	S95P0178US04	3.0-1191 DIV DIV II	7217/47170-X	193393	6223058	5-Jan	7-Jan			
734	S95P0178US03	3.0-1191 DIV DIV I	7217/47170-Y	195084	6131048	5-Jan	7-Jan			
729	S95P0172US00	3.0-1190	7217/47269	08/549669		5-Jan	25-Jan			
730	S95P0172US01	3.0-1190 CONT	7217/47269A	917042	5802021	115	115			
733	S95P0178US02	3.0-1191 CONT	7217/47270-A	950512	5987336	5-Jan	7-Jan			
737	S95P0178US06	3.0-1191 CONT DIV	7217/47270-V	09/339751	6198948	5-Jan	7-Jan			
732	S95P0178US01	3.0-1191 DIV	7217/47270-Z	932942	5999827	115	115			
742	S95P0526US00	3.0-1193	7217/48337	524215	6097762	5-Jan	25-Jan			
744	S95P0526US02	3.0-1193 DIV I CONT??	7217/48337-Y	09/137768		5-Jan	18-Jan			
743	S95P0526US01	3.0-1193 DIV	7217/48337-Z	09/539007	6351497	5-Jan	25-Jan			

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748	S95P0533US03	3.0-1194 CONT DIV	7217/48338-Y	08/923025		5-Jan	18-Jan			
751	S95P0638US01	3.0-1195 DIV <del>RE</del>	7217/48536-Z	08/927447		5-Jan	18-Jan			
752	S95P0787US00	3.0-1196	7217/48794	08/571650	5995852	5-Jan	25-Jan			
753	S95P0787US01	3.0-1196 RE	7217/48794-RE	09/998426		5-Jan	7-Jan			
757	S96P0158US01	3.0-1198 RE	7217/49447-RE	09/299425	RE38829	5-Jan	21-Jan			
761	S96P0332US00	3.0-1201	7217/50308	08/611198	5748741	5-Jan	7-Jan			
759	S96P0255US01	3.0-1199 DIV OR CONT	7217/50539-Z	09/072342		5-Jan	7-Jan			
760	S96P0264US00	3.7?-1200	7217/50545	08/633318		5-Jan	7-Jan			
739	S95P0449US00	3.0-1192	7217/50583	5156559	5754258	5-Jan	7-Jan			
741	S95P0449US02	3.0-1192 DIV DIV	7217/50583-Y	10/339810		5-Jan	9-Jan			
740	S95P0449US01	3.0-1192 DIV	7217/50583-Z	09/074297	6519009	5-Jan	9-Jan			
762	S96P0659US00	3.0-1202	7217/51434	08/695621	ABN	6-Jan	9-Jan			
763	S96P0659US01	3.0-1202 CONT	7217/51434	08/960100	ABN	6-Jan	9-Jan			
766	S97P0652US00	3.0-1205	7217/53929	906103	6445417	5-Jan	9-Jan			
767	S97P0652US01	3.0-1205 DIV	7217/53929-Z	10/207472		5-Jan	9-Jan			
768	S97P0774US00	3.0-1206	7217/54018	925126	6311092	5-Jan	12-Jan			
772	S97P0774US04	3.0-1206 DIVCONTII	7217/54018-W	11/093221		5-Jan	12-Jan			
771	S97P0774US03	3.0-1206 DIVCONTI	7217/54018-X	11/092940		5-Jan	12-Jan			
770	S97P0774US02	3.0-1206 DIV II	7217/54018-Y	09/905137		5-Jan	12-Jan			
769	S97P0774US01	3.0-1206 DIV I	7217/54018-Z	09/670871	6925341	5-Jan	12-Jan			
779	S97P0776US06	3.0-1207 ??	7217/54019-U			5-Jan	12-Jan			
782	S98P0070US00	3.0-1209	7217/55134	09/007702	6253020	5-Jan	12-Jan			
783	S98P0070US01	3.0-1209 DIV	7217/55134-Z	09/858843		12-Dec	✓			
784	S98P0281US00	3.0-1210	7217/55489	09/046693						
786	S98P0281US02	3.0-1210 DIV II	7217/55489-Y	10/690911						
785	S98P0281US01	3.0-1210 DIV I	7217/55489-Z	10/690747		5-Jan	7-Jan			
787	S98P0297US00	3.0-1211	7217/55493	09/048076		5-Jan	7-Jan			
788	S98P0370US00	3.0-1212	7217/55791	09/049084	6297803	5-Jan	7-Jan			
790	S98P0370US02	3.0-1212 DIV II	7217/55791-Y	09/885481	6473068					
789	S98P0370US01	3.0-1212 DIV I	7217/55791-Z	09/885475	6489944					
792	S98P0672US00	3.0-1214	7217/55809	09/066758		29-Dec	✓			
793	S98P0672US01	3.0-1214 DIV	7217/55809-Z	10/032773						
794	S98P0741US00	3.0-1215	7217/56455	09/108345		5-Jan	14-Jan			
795	S98P0741US01	3.0-1215 DIV	7217/56455-Z	10/799088		5-Jan	14-Jan			

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798	S98P0872US00	3.7-1218	7217/56600	09/136822		5-Jan	12-Jan			
799	S98P0898US00	3.0-1219	7217/56607	131095	6282654	5-Jan	14-Jan			
801	S98P0898US02	3.0-1219 DIV II	7217/56607-Y	09/879302		5-Jan	14-Jan			
800	S98P0898US01	3.0-1219 DIV I	7217/56607-Z	09/854984	6574735	5-Jan	14-Jan			
802	S98P0946US00	3.0-1220	7217/56840	145428	6295303	5-Jan	14-Jan			
803	S98P0946US01	3.0-1220 DIV	7217/56840-Z	09/934621	6711864	5-Jan	14-Jan			
807	S98P1116US00	3.0-1223	7217/57098	179549	6910010	5-Jan	7-Jan			
809	S98P1116US02	3.0-1223 CONT II	7217/57098-Y	11/093815		5-Jan	7-Jan			
808	S98P1116US01	3.0-1223 CONT I	7217/57098-Z	11/093436						
810	S99P0066US00	3.0-1224	7217/57610	09/232634	6614912	5-Jan	9-Jan			
812	S99P0074US00	3.0-1225	7217/57614	09/232630	6324667	5-Jan	7-Jan			
813	S99P0074US01	3.0-1225 RE	7217/57614-RE	10/720007		5-Jan	7-Jan			
814	S99P0086US00	3.0-1226	7217/57620	09/236886		5-Jan	7-Jan			
816	S99P0086US02	3.0-1226 DIV CONT	7217/57620-Y			5-Jan	7-Jan			
815	S99P0086US01	3.0-1226 DIV	7217/57620-Z	10/050369	6882348	5-Jan	7-Jan			
818	S99P0173US01	3.0-1227 DIV	7217/57715-Z	10/106586		5-Jan	9-Jan			
819	S99P0184US00	3.0-1228	7217/57720	09/252808	6519292	5-Jan	9-Jan			
820	S99P0184US01	3.0-1228 DIV	7217/57720-Z	10/113236		5-Jan	9-Jan			
831	S99P1015US00	3.0-1238	7217/59652	09/389557	6970180	5-Jan	9-Jan			
833	S99P1057US00	3.0-1240	7217/59661	09/397808	6275452	5-Jan	7-Jan			
835	S99P1057US02	3.0-1240 DIV DIV	7217/59661-Y	10/347083	6762979	5-Jan	7-Jan			
834	S99P1057US01	3.0-1240 DIV	7217/59661-Z	09/864430	6510128	5-Jan	7-Jan			
836	S99P1057US03	3.0-1240 DIV RE	7217/59661-Z-RE	10/785177		5-Jan	7-Jan			
840	S99P1253US00	3.0-1243	7217/60017	09/429986	6970569	1/5	1/6			
1	S00P0075US00	3.0-700	7217/60612	09/487675	6894722	5-Jan	21-Jan			
2	S00P0075US01	3.0-700 CONT	7217/60612-Z	11/096952		5-Jan	21-Jan			
5	S00P0261US00	3.0-703	7217/61034	09/517477		5-Jan	21-Jan			
6	S00P0261US01	3.0-703 DIV	7217/61034-Z	10/090253	6542445	5-Jan	24-Jan			
7	S00P0264US00	3.0-704	7217/61035	09/518808		5-Jan	18-Jan			
10	S00P0294US00	3.0-706	7217/61041	09/523583	6889246					
15	S00P0294US05	3.0-706 CONT V	7217/61041-V	11/107632		5-Jan	25-Jan			
14	S00P0294US04	3.0-706 CONT IV	7217/61041-W	11/106777		5-Jan	25-Jan			
13	S00P0294US03	3.0-706 CONT III	7217/61041-X	11/107655		5-Jan	25-Jan			
12	S00P0294US02	3.0-706 CONT II	7217/61041-Y	11/107656		5-Jan	25-Jan			

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11	S00P0294US01	3.0-706 CONT I	7217/61041-Z	11/107954	5-Jan	25-Jan					
16	S00P0328US00	3.0-707	7217/61049	09/534178	5-Jan	12-Jan					
17	S00P0337US00	3.0-708	7217/61050	09/536940	29-Dec	✓					
18	S00P0430US00	3.0-709	7217/61228	09/541980	6904467						
21	S00P0430US03	3.0-709 DIV II	7217/61228-X	11/088083	5-Jan	21-Jan					
20	S00P0430US02	3.0-709 CONT	7217/61228-Y	11/088082	5-Jan	25-Jan					
19	S00P0430US01	3.0-709 DIV I	7217/61228-Z	11/087821	5-Jan	21-Jan					
24	S00P0594US00	3.0-711	7217/61472	09/583810	6591313	5-Jan	24-Jan				
25	S00P0594US01	3.0-711 DIV	7217/61472-Z		5-Jan	24-Jan					
32	S00P0973US00	3.0-716	7217/62364	09/642286	5-Jan	12-Jan					
33	S00P0973US01	3.0-716 DIV	7217/62364-Z	11/064389	5-Jan	12-Jan					
35	S00P0996US00	3.0-718	7217/62370	09/649955	5-Jan	18-Jan					
43	S00P1072US03	3.0-720 DIV III	7217/62589-X	11/085344							
42	S00P1072US02	3.0-720 DIV II	7217/62589-Y	11/085497	115	128					
41	S00P1072US01	3.0-720 DIV I	7217/62589-Z	11/085490	6,977,884	5-Jan	9-Jan				
44	S00P1153US00	3.0-721	7217/62597	09/675101	6928179						
48	S00P1183US00	3.0-724	7217/62641	09/687543	5-Jan	18-Jan					
53	S00P1265US00	3.0-727	7217/62902	09/691951	6931199						
58	S00P1274US00	3.0-728	7217/62906	09/693125	6662269	5-Jan	7-Jan				
59	S00P1274US01	3.0-728 DIV	7217/62906-Z	10/616211							
60	S00P1295US00	3.0-729	7217/62910	09/697110		5-Jan	18-Jan				
64	S00P1383US00	3.0-732	7217/63009	09/709907							
70	S00P1411US00	3.0-736	7217/63016	09/714317	5-Jan	18-Jan					
74	S00P1560US00	3.0-740	7217/63304	09/749849		28-Dec	✓				
75	S00P1560US01	3.0-740 ---	7217/63304-Z	11/190614		5-Jan	18-Jan				
76	S00P1561US00	3.0-741	7217/63305	09/749097		28-Dec	✓				
77	S00P1561US01	3.0-741 CONT	7217/63305-Z								
71	S00P1511US00	3.0-737	7217/63309	09/730340		5-Jan	10-Jan				
80	S01P0003US00	3.0-743	7217/63434	09/753770	6682864						
81	S01P0003US01	3.0-743 DIV	7217/63434-Z	10/459980							
84	S01P0080US00	3.0-746	7217/63442	09/765852							
90	S01P0214US00	3.0-750	7217/63751	09/783809	6816555	5-Jan	23-Jan				
91	S01P0214US01	3.0-750 DIV	7217/63751-Z	10/926190	5-Jan	23-Jan					
92	S01P0219US00	3.0-751	7217/63755	09/784552	6889043	5-Jan	23-Jan				

No.	SONY Ref.	DLK/M file	C&D Ref.	App No.	Patent No.	Rec'd?	Rev'd?	IPDAS	R&SA Sent to SONY Filed in PTO
96	S01P0219US04	3.0-751 CONT III	7217/63755-W	11/093329	5-Jan	9-Jan			
95	S01P0219US03	3.0-751 CONT II	7217/63755-X	11/093784	5-Jan	23-Jan			
94	S01P0219US02	3.0-751 CONT I	7217/63755-Y	11/093794	5-Jan	23-Jan			
93	S01P0219US01	3.0-751 DIV	7217/63755-Z	11/084891	5-Jan	23-Jan			
97	S01P0231US00	3.0-752	7217/63761	09/790046	12-Dec	✓			
85	S01P0185US00	3.3-747	7217/63762	09/937574					
88	S01P0197US00	3.0-748	7217/63764	09/781496	5-Jan	7-Jan			
89	S01P0203US00	3.0-749	7217/63766	09/782693	5-Jan	21-Jan			
98	S01P0279US00	3.0-753	7217/64034	09/803865	5-Jan	12-Jan			
106	S01P0364US00	3.0-759	7217/64035	09/804511	5-Jan	21-Jan			
108	S01P0392US00	3.0-760	7217/64043	09/809668	5-Jan	21-Jan			
99	S01P0327US00	3.0-754	7217/64046	09/798582	6909704	5-Jan	12-Jan		
100	S01P0327US01	3.0-754 ---	7217/64046-Z			5-Jan	12-Jan		
101	S01P0329US00	3.0-755	7217/64048	09/800056		12-Dec	✓		
109	S01P0405US00	SONYTA 3.0-1254	7217/64049	09/810014		29-Dec	✓		
110	S01P0405US01	SONYTA 3.0-1254 DIV	7217/64049-Z	11/102988		5-Jan	24-Jan		
102	S01P0330US00	3.0-756	7217/64050	09/799483		5-Jan	7-Jan		
112	S01P0415US00	3.0-762	7217/64053	09/812363		5-Jan	12-Jan		
113	S01P0439US00	3.0-763	7217/64061	09/819190		5-Jan	12-Jan		
103	S01P0339US00	3.3-757	7217/64068	09/980865		5-Jan	24-Jan		
111	S01P0408US00	3.3-761	7217/64069	10/239131		5-Jan	24-Jan		
114	S01P0473US00	3.0-764	7217/64113	09/798624		5-Jan	24-Jan		
141	S01P0747US00	3.0-776	7217/64198	09/811847		5-Jan	14-Jan		
115	S01P0533US00	3.0-765	7217/64308	09/844048	6928567				
117	S01P0533US02	3.0-765 ---	7217/64308-Y						
116	S01P0533US01	3.0-765 DIV	7217/64308-Z	10/971449		5-Jan	18-Jan		
118	S01P0552US00	3.0-766	7217/64309	09/824911	6925261				
125	S01P0552US07	3.0-766 CONT IV	7217/64309-T	11/089970	/✓	/✓	/✓		
124	S01P0552US06	3.0-766 CONT III	7217/64309-U	11/089662	5-Jan	24-Jan			
123	S01P0552US05	3.0-766 DIV III	7217/64309-V	11/089695	/✓	/✓	/✓		
122	S01P0552US04	3.0-766 CONT II	7217/64309-W	11/090501	5-Jan	24-Jan			
121	S01P0552US03	3.0-766 DIV II	7217/64309-X	11/089824	5-Jan	24-Jan			
120	S01P0552US02	3.0-766 CONT I	7217/64309-Y	11/090730	5-Jan	24-Jan			
119	S01P0552US01	3.0-766 DIV I	7217/64309-Z	11/090492	5-Jan	24-Jan			

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No.	SONY Ref.	LDLKM file	C&D Ref.	App No.	Patent No.	Rec'd?	Rev'd?	IPDAS	R&SA	Filed to SON	Filed in PTO
126	S01P0554US00	3.0-767	7217/64310	09/826166							
127	S01P0554US01	3.0-767 ---	7217/64310								
128	S01P0555US00	3.0-768	7217/64311	09/826109		5-Jan	21-Jan				
129	S01P0555US01	3.0-768 ---	7217/64311								
130	S01P0588US00	3.3-769	7217/64313	10/018585		5-Jan	21-Jan				
131	S01P0597US00	3.0-770	7217/64316	09/836083		5-Jan	21-Jan				
132	S01P0620US00	3.0-771	7217/64320	09/839820		5-Jan	21-Jan				
133	S01P0634US00	3.3-772	7217/64322	10/030293		5-Jan	14-Jan				
170	S01P0988US00	3.0-798	7217/64520	09/901277		5-Jan	21-Jan				
174	S01P0988US04	3.0-798 DIV IV	7217/64520-W	10/225051		20-Jan	20-Jan	Refs. In Box 27B			
173	S01P0988US03	3.0-798 DIV III	7217/64520-X	10/224697	6771569	5-Jan	21-Jan				
172	S01P0988US02	3.0-798 DIV II	7217/64520-Y	10/225017	6567349	5-Jan	21-Jan				
171	S01P0988US01	3.0-798 DIV I	7217/64520-Z	10/224696							
134	S01P0709US00	3.3-773	7217/64549	10/019794		5-Jan	14-Jan				
135	S01P0719US00	3.0-774	7217/64550	09/851821	6657925	5-Jan	7-Jan				
138	S01P0719US03	3.0-774 DIV CONT II	7217/64550-X	11/096430	6982933	5-Jan	9-Jan				
137	S01P0719US02	3.0-774 DIV CONT I	7217/64550-Y	11/097080		5-Jan	21-Jan				
136	S01P0719US01	3.0-774 DIV	7217/64550-Z	10/435974	6901035	5-Jan	21-Jan				
139	S01P0724US00	3.0-775	7217/64551	09/852864		5-Jan	14-Jan				
140	S01P0724US01	3.0-775 ---	7217/64551								
142	S01P0759US00	3.0-777	7217/64554	09/855786		12-Dec	✓				
143	S01P0766US00	3.3-778	7217/64556	10/031023		1/5	1/5				
144	S01P0769US00	3.0-779	7217/64557	09/860299							
145	S01P0779US00	3.0-780	7217/64559	09/861789		5-Jan	14-Jan				
146	S01P0790US00	3.0-781	7217/64561	09/863922		12-Dec	✓				
147	S01P0791US00	3.0-782	7217/64562	09/862825		5-Jan	10-Jan				
148	S01P0795US00	3.0-783	7217/64564	09/862826		12-Dec	✓				
149	S01P0812US00	3.3-784	7217/64566	10/049217		5-Jan	21-Jan				
150	S01P0878US00	3.3-785	7217/64708	10/048358							
151	S01P0892US00	3.0-786	7217/64712	09/875399							
152	S01P0894US00	3.0-787	7217/64713	09/875398		5-Jan	11-Jan				
153	S01P0925US00	3.0-788	7217/64721	09/879332	6956813	5-Jan	11-Jan				
154	S01P0935US00	3.0-789	7217/64724	09/882403	ABN	5-Jan	11-Jan				
155	S01P0935US01	3.0-789 DIV	7217/64724-2	10/658931	6828761	1/5	1/5				

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No.	SONY Ref.	LDLK file	C&D Ref.	App No.	Patent No.	Rec'd?	Rev'd?	IPDAS	R&SA	Sent to SONY	Filed in PTO
156	S01P0935US02	3.0-789 CONT	7217/64724-Y	11/178674							
157	S01P0936US00	3.0-790	7217/64725	09/881858		5-Jan	21-Jan				
158	S01P0948US00	3.0-791	7217/64727	09/885224	6897850	1/5	1/27				
161	S01P0948US03	3.0-791 CONT III	7217/64727-X	11/109065		5-Jan	9-Jan				
160	S01P0948US02	3.0-791 CONT II	7217/64727-Y	11/109058		5-Jan	11-Jan				
159	S01P0948US01	3.0-791 CONT I	7217/64727-Z	11/109047		1/5	1/27				
162	S01P0950US00	3.0-792	7217/64728	09/885480		28-Dec	✓				
163	S01P0950US01	3.0-792 DIV	7217/64728-Z	10/941710		1/5	1/27				
164	S01P0951US00	3.3-793	7217/64729	10/669198		1/5	1/27				
165	S01P0956US00	3.3-794	7217/64731	10/669538		5-Jan	21-Jan				
166	S01P0965US00	3.0-795	7217/64734	09/891840	6938006	5-Jan	7-Jan				
167	S01P0965US01	3.0-795 DIV	7217/64734	10/984328		28-Dec	✓				
168	S01P0966US00	3.3-796	7217/64735	10/088148		1/5	1/28				
175	S01P1039US00	3.0-799	7217/64949	09/907216	6904008	5-Jan	20-Jan				
181	S01P1039US06	3.0-799 CONT VI	7217/64949-U	11/113848		5-Jan	7-Jan				
180	S01P1039US05	3.0-799CONT V	7217/64949-V	11/113849		5-Jan	7-Jan				
179	S01P1039US04	3.0-799 CONT IV	7217/64949-W	11/113847		5-Jan	7-Jan				
178	S01P1039US03	3.0-799 CONT III	7217/64949-X	11/113884		5-Jan	7-Jan				
177	S01P1039US02	3.0-799 CONT II	7217/64949-Y	11/113524		5-Jan	7-Jan				
176	S01P1039US01	3.0-799 CONT I	7217/64949-Z	11/113872		5-Jan	12-Jan				
182	S01P1092US00	3.3-800	7217/64956	10/088337		29-Dec	✓				
183	S01P1103US00	3.3-801	7217/64957	10/088179		5-Jan	10-Jan				
185	S01P1107US00	3.0-803	7217/64959	09/909387		5-Jan	14-Jan				
186	S01P1112US00	3.3-804	7217/64961	10/088705		5-Jan	14-Jan				
187	S01P1126US00	3.3-805	7217/64964	10/088787		5-Jan	14-Jan				
188	S01P1136US00	3.0-806	7217/64965	09/918129		1/5	1/28				
189	S01P1143US00	3.3-807	7217/64967	10/089478		5-Jan	14-Jan				
184	S01P1104US00	3.3-802	7217/64969	10/088196		5-Jan	10-Jan				
191	S01P1203US00	3.0-809	7217/65184	09/918007		5-Jan	21-Jan				
192	S01P1213US00	3.0-810	7217/65186	09/920133		5-Jan	21-Jan				
193	S01P1214US00	3.0-811	7217/65187	09/921243	6904103	5-Jan	21-Jan				
197	S01P1214US04	3.0-811 CONT IV	7217/65187-W	11/099930		5-Jan	21-Jan				
196	S01P1214US03	3.0-811 CONT III	7217/65187-X	11/099931		5-Jan	21-Jan				
195	S01P1214US02	3.0-811 CONT II	7217/65187-Y	11/099932		5-Jan	21-Jan				

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194	S01P1214US01	3.0-811 CONT I	721765187-Z			5-Jan	21-Jan				
198	S01P1216US00	3.3-812	721765189	10/089771		5-Jan	21-Jan				
199	S01P1224US00	3.0-813	721765190	09/921421		12-Dec	✓				
200	S01P1248US00	3.0-814	721765194	09/929326		5-Jan	24-Jan				
201	S01P1258US00	3.0-815	721765195	09/934426		29-Dec	✓				
202	S01P1309US00	3.0-816	721765200	09/940710		✓/5	✓/28				
203	S01P1309US01	3.0-816 CONT	721765200-Z	11/179716		5-Jan	7-Jan				
204	S01P1317US00	3.0-817	721765201	09/941143		5-Jan	7-Jan				
217	S01P1466US00	3.0-830	721765202	09/922191	6882350	5-Jan	12-Jan				
218	S01P1466US01	3.0-830 DIV I	721765202	11/076165		5-Jan	12-Jan				
219	S01P1466US02	3.0-830 DIV II	721765202	11/076139		5-Jan	12-Jan				
220	S01P1470US00	3.0-831	721765203	09/942165		5-Jan	21-Jan				
205	S01P1353US00	3.3-818	721765439	10/380715		✓/5	✓/28				
206	S01P1361US00	3.0-819	721765441	09/957259		✓/6 Jan	✓/8 Jan				
207	S01P1403US00	3.0-820	721765443	09/947792		✓/5	✓/28				
208	S01P1410US00	3.3-821	721765445	10/149542		✓/5	✓/28				
209	S01P1412US00	3.0-822	721765446	09/950138		5-Jan	21-Jan				
210	S01P1431US00	3.0-823	721765453	09/955888		5-Jan	21-Jan				
211	S01P1438US00	3.0-824	721765454	09/957436		5-Jan	21-Jan				
212	S01P1440US00	3.3-825	721765455	10/130566		✓/5	✓/28				
213	S01P1446US00	3.3-826	721765457	10/130560		5-Jan	12-Jan				
214	S01P1449US00	3.3-827	721765458	10/130777		5-Jan	12-Jan				
215	S01P1450US00	3.3-828	721765459	10/130781		5-Jan	12-Jan				
216	S01P1459US00	3.0-829	721765460	09/963257		5-Jan	12-Jan				
221	S01P1537US00	3.3-832	721765709	10/148822		✓/5	✓/28				
222	S01P1548US00	3.0-833	721765710	09/974329		5-Jan	12-Jan				
225	S01P1574US00	3.0-835	721765713	09/974525		29-Dec	✓				
227	S01P1582US00	3.3-837	721765715	10/398105	6814509	5-Jan	12-Jan				
230	S01P1582US03	3.3-837 CONTCONTII	721765715-X	11/102305		5-Jan	10-Jan				
229	S01P1582US02	3.3-837 CONTCONT I	721765715-Y	11/102288		✓/6 Jan	✓/6 Jan				
231	S01P1583US00	3.0-838	721765716	09/977808		5-Jan	26-Jan				
232	S01P1607US00	3.0-839	721765719	10/002755		5-Jan	26-Jan				
233	S01P1612US00	3.3-840	721765720	10/169233		5-Jan	26-Jan				
226	S01P1581US00	3.0-836	721765921	09/977439		5-Jan	12-Jan				

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234	S01P1655US00	3.0-841	7217/65951	09/992935	6930854	1/15	1/30			
236	S01P1655US02	3.0-841 CONT II	7217/65951-Y	11/121812		5-Jan	12-Jan			
238	S01P1659US00	3.0-842	7217/65952	09/992606		5-Jan	9-Jan			
241	S01P1713US00	3.0-845	7217/65952	10/007837	6952461	1/15	1/26			
239	S01P1693US00	3.0-843	7217/65957	10/052984		5-Jan	21-Jan			
240	S01P1711US00	3.0-844	7217/65961	10/010877		5-Jan	27-Jan			
242	S01P1713US01	3.0-845 CONT	7217/65962	11/100128		5-Jan	27-Jan			
243	S01P1724US00	3.0-846	7217/65965	09/988957	6886128	1/5	1/27			
244	S01P1724US01	3.0-846 DIV	7217/65965	11/077064		1/5	1/28			
245	S01P1732US00	3.0-847	7217/65966	09/988920		5-Jan	27-Jan			
246	S01P1732US01	3.0-847 ---	7217/65966							
247	S01P1742US00	3.0-848	7217/65967	09/994140		5-Jan	7-Jan			
248	S01P1810US00	3.0-849	7217/66046	09/998116		1/5	1/28			
249	S01P1820US00	3.3-850	7217/66049	10/182293		5-Jan	7-Jan			
250	S01P1821US00	SONYSH 3.0-002	7217/66050	10/008443		1/4 Jan	1/8 Jan			
252	S01P1838US00	3.0-852	7217/66054	10/010681		5-Jan	7-Jan			
253	S01P1853US00	3.3-853	7217/66056	10/204931		5-Jan	27-Jan			
254	S01P1864US00	3.3-854	7217/66057	10/203572		5-Jan	12-Jan			
255	S01P1867US00	3.0-855	7217/66058	10/017369						
259	S01P1868US00	3.3-856	7217/66059	10/203530						
261	S01P1879US00	3.0-857	7217/66060	10/021875						
262	S01P1881US00	3.0-858	7217/66061	10/027973						
263	S01P1884US00	3.3-859	7217/66062	10/204567						
264	S01P1889US00	3.0-860	7217/66063	10/028033						
265	S01P1895US00	3.0-861	7217/66064	10/034454						
266	S01P1896US01	3.0-861 DIV	7217/66064-Z	11/127,982		29-Dec	✓			
269	S01P1911US00	3.3-864	7217/66068	10/204867		28-Dec	✓			
271	S02P0041US00	3.3-866	7217/66285	10/20542						
272	S02P0041US01	3.3-866 ---	7217/66285			5-Jan	10-Jan			
273	S02P0042US00	3.0-867	7217/66286	10/033206						
274	S02P0055US00	3.0-868	7217/66287	10/043067		5-Jan	10-Jan			
276	S02P0066US00	3.0-870	7217/66290	10/044422						
277	S02P0078US00	3.0-871	7217/66291	10/052962						
278	S02P0099US00	3.3-872	7217/66292	10/21634						

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279	S02P0100US00	3.0-873	7217/66293	10/059854						
280	S02P0103US00	3.0-874	7217/66294	10/059794		5-Jan	10-Jan			
281	S02P0105US00	3.3-875	7217/66295	10/240157						
282	S02P0106US00	SONYSH 3.3-001	7217/66296	10/240156						
283	S02P0175US00	3.3-876	7217/66540	10/240001		5-Jan	27-Jan			
284	S02P0176US00	3.0-877	7217/66541	10/071493		5-Jan	21-Jan			
285	S02P0178US00	3.3-878	7217/66542	10/240964		5-Jan	21-Jan			
286	S02P0195US00	3.3-879	7217/66543	10/257217		5-Jan	21-Jan			
289	S02P0204US00	3.3-880	7217/66547	10/239940		5-Jan	21-Jan			
291	S02P0204US02	3.3-880 DIV I	7217/66547-Y	11/076715	6970718	5-Jan	7-Jan			
290	S02P0204US01	3.3-880 DIV II	7217/66547-Z	11/077346		5-Jan	21-Jan			
292	S02P0206US00	3.0-881	7217/66548	10/079440		5-Jan	21-Jan			
293	S02P0217US00	3.0-882	7217/66551	10/077674		5-Jan	21-Jan			
294	S02P0219US00	3.3-883	7217/66553	10/257705		28-Dec	✓			
295	S02P0221US00	3.3-884	7217/66554	10/258101						
296	S02P0234US00	3.0-885	7217/66556	10/083280		29-Dec	✓			
297	S02P0237US00	3.0-886	7217/66557	10/084547	6898442	5-Jan	21-Jan			
298	S02P0237US01	3.0-886 CONT	7217/66557-Z	11/093340		5-Jan	18-Jan			
299	S02P0242US00	3.3-887	7217/66558	10/258467		✓/5	1/15			
300	S02P0301US00	3.0-888	7217/66559	10/085462		✓/5	1/28			
301	S02P0303US00	3.0-889	7217/66560	10/085451		5-Jan	24-Jan			
302	S02P0307US00	3.0-890	7217/66562	10/090311		12-Dec	✓			
303	S02P0316US00	3.3-891	7217/66564	10/258890		5-Jan	24-Jan			
304	S02P0320US00	3.3-892	7217/66565	10/471200		5-Jan	26-Jan			
305	S02P0326US00	3.3-893	7217/66567	10/469788		5-Jan	21-Jan			
306	S02P0327US00	3.3-894	7217/66568	10/258993	6934957	1/5	1/26			
313	S02P0327US07	3.3-894 ---	7217/66568-T	11/105129		5-Jan	18-Jan			
312	S02P0327US06	3.3-894 ---	7217/66568-U	11/105034		5-Jan	18-Jan			
311	S02P0327US05	3.3-894 ---	7217/66568-V	11/105059		5-Jan	18-Jan			
310	S02P0327US04	3.3-894 DIV IV	7217/66568-W	11/105033		5-Jan	18-Jan			
309	S02P0327US03	3.3-894 DIV III	7217/66568-X	10/974930		5-Jan	18-Jan			
308	S02P0327US02	3.3-894 DIV II	7217/66568-Y	10/974913		1/5	1/27			
45	S00P1153US01	3.0-721 CONT	7217/66568-Z	11/107633						
307	S02P0327US01	3.3-894 DIV	7217/66568-Z	10/974929		5-Jan	25-Jan			

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No.	SONY Ref.	LDLKM file	C&D Ref.	App No.	Patent No.	Rec'd?	Rev'd?	IPDAS	R&SA Sent to SONY Filed in PTO
314	S02P0337US00	3.3-895	7217/66569	10/276038	6915905	5-Jan	24-Jan		
316	S02P0338US00	3.3-896	7217/66570	10/275358	6904606				
321	S02P0344US00	3.3-897	7217/66572	10/275517		5-Jan	24-Jan		
322	S02P0349US00	3.0-898	7217/66573	10/096035		5-Jan	24-Jan		
323	S02P0351US00	3.3-899	7217/66574	10/469485		5-Jan	24-Jan		
324	S02P0358US00	3.3-900	7217/66575	10/276930		1/5	//2-8		
325	S02P0363US00	3.3-901	7217/66576	10/276561		1/5	//27		
326	S02P0367US00	3.3-902	7217/66577	10/468306		1/5	//27		
327	S02P0380US00	3.3-903	7217/66578	10/276628		5-Jan	11-Jan		
328	S02P0382US00	3.0-904	7217/66579	10/112296		5-Jan	18-Jan		
331	S02P0382US03	3.0-904 ---	7217/66579-X			5-Jan	26-Jan		
330	S02P0382US02	3.0-904 ---	7217/66579-Y			5-Jan	18-Jan		
329	S02P0382US01	3.0-904 ---	7217/66579-Z			5-Jan	26-Jan		
332	S02P0389US00	3.3-905	7217/66580	10/276938		1/5	//3-0		
333	S02P0390US00	3.3-906	7217/66581	10/276931	6903273	1/5	//3-0		
339	S02P0467US00	3.0-907	7217/66990	10/120692	6912006	1/5	//30		
340	S02P0467US01	3.0-907 ---	7217/66990-Z	11/102153					
342	S02P0505US00	3.0-909	7217/66991	10/123560		5-Jan	9-Jan		
347	S02P0529US00	3.0-913	7217/66992	10/127620					
348	S02P0530US00	3.3-914	7217/66993	10/311921					
349	S02P0534US00	3.0-915	7217/66994	10/128737		5-Jan	12-Jan		
341	S02P0482US00	3.3-908	7217/66996	10/297554					
343	S02P0514US00	3.3-910	7217/66997	10/311495					
344	S02P0516US00	3.3-911	7217/66998	10/311656		28-Dec	✓		
345	S02P0527US00	3.3-912	7217/67000	10/311652					
346	S02P0528US00	SONYAK 3.3-238	7217/67001	10/311651					
350	S02P0535US00	3.3-916	7217/67002	10/311774		5-Jan	25-Jan		
351	S02P0541US00	3.3-917	7217/67003	10/311777		5-Jan	9-Jan		
352	S02P0594US00	3.0-918	7217/67013	10/138153		12-Dec	✓		
354	S02P0597US00	3.0-920	7217/67014	10/138100					
356	S02P0599US00	3.0-922	7217/67015	10/138101		5-Jan	12-Jan		
362	S02P0661US00	3.0-925	7217/67021	10/189831		5-Jan	10-Jan		
363	S02P0661US01	3.0-925 ---	7217/67021			5-Jan	10-Jan		
353	S02P0596US00	3.3-919	7217/67022	10/332301		28-Dec	✓		

No.	SONY Ref.	LDLKM file	C&D Ref.	App No.	Patent No.	Rec'd?	Rew'd?	IPDAS	R&SA	Sent to SONY	Filed in PRO
355	S02P0598US00	3.3-921	7217/67023	10/332304							
357	S02P0639US00	3.3-923	7217/67024	10/333037	6918313	1/5	1/28				
360	S02P0639US03	3.3-923 CONT III	7217/67024-X	11/112668		1/5	1/28				
359	S02P0639US02	3.3-923 CONT II	7217/67024-Y	11/112693		5-Jan	9-Jan				
358	S02P0639US01	3.3-923 CONT I	7217/67024-Z	11/113379		1/5	1/27				
361	S02P0644US00	3.3-924	7217/67025	10/33644		1/5	1/28				
364	S02P0719US00	3.0-926	7217/67033	10/162101		5-Jan	10-Jan				
365	S02P0720US00	3.0-927	7217/67034	10/162368		1/5	1/27				
367	S02P0730US00	3.0-929	7217/67035	10/163,979		5-Jan	18-Jan				
368	S02P0731US00	3.0-930	7217/67036	10/163965	6961568	5-Jan	7-Jan				
372	S02P0751US00	3.0-934	7217/67037	10/170036	6927800	1/5	1/28				
374	S02P0751US02	3.0-934 CONT II	7217/67037-Y	11/116640		1/5	1/28				
373	S02P0751US01	3.0-934 CONT I	7217/67037-Z	11/093567		1/5	1/28				
376	S02P0781US00	3.0-936	7217/67038	10/175646		5-Jan	10-Jan				
377	S02P0786US00	3.0-937	7217/67039	10/177519		1/5	1/27				
379	S02P0792US00	3.0-939	7217/67040	10/177905		5-Jan	24-Jan				
383	S02P0814US00	3.0-943	7217/67042	10/179866							
370	S02P0734US00	3.3-932	7217/67044	10/343569		1/5	1/28				
371	S02P0743US00	3.3-933	7217/67045	10/343612		1/5	1/28				
375	S02P0755US00	3.3-935	7217/67046	10/343807		5-Jan	12-Jan				
380	S02P0799US00	3.3-940	7217/67048	10/362317							
384	S02P0822US00	3.3-944	7217/67049	10/362402							
385	S02P0828US00	3.3-945	7217/67050	10/333702		5-Jan	25-Jan				
381	S02P0800US00	3.3-941	7217/67052	10/432695		5-Jan	25-Jan				
366	S02P0721US00	3.3-928	7217/67053	10/343268							
382	S02P0812US00	3.3-942	7217/67054	10/479333		5-Jan	25-Jan				
369	S02P0732US00	3.3-931	7217/67056	10/333703		29-Dec	✓				
378	S02P0787US00	3.3-938	7217/67057	10/362070		5-Jan	25-Jan				
387	S02P0891US00	3.0-947	7217/67549	10/189070		5-Jan	25-Jan				
390	S02P0910US00	3.0-950	7217/67550	10/192374		5-Jan	10-Jan				
386	S02P0889US00	3.3-946	7217/67555	10/362655		5-Jan	25-Jan				
389	S02P0906US00	3.3-949	7217/67558	10/481282		5-Jan	21-Jan				
391	S02P0911US00	3.3-951	7217/67559	10/363519		12-Dec	✓				
392	S02P0919US00	3.0-952	7217/67560	10/380578		1/13	1/28				

No.	SONY Ref.	LDLK file	C&D Ref.	App No.	Patent No.	Rec'd?	Rev'd?	IPDAS	R&SA	SONN	Filed in PTO
393	S02P0944US00	3.3-953	7217/67561	10/380650		5-Jan	21-Jan				
394	S02P0947US00	3.3-954	7217/67562	10/380733		5-Jan	21-Jan				
395	S02P0959US00	3.3-955	7217/67563	10/381265		5-Jan	21-Jan				
396	S02P1035US00	3.3-956	7217/67660	10/208473		5-Jan	21-Jan				
397	S02P1043US00	3.0-957	7217/67662	10/209995		5-Jan	21-Jan				
398	S02P1053US00	3.3-958	7217/67663	10/398596		5-Jan	21-Jan				
399	S02P1063US00	3.0-959	7217/67665	10/216528		26-Jan	28-Jan				
400	S02P1070US00	3.0-960	7217/67666	10/223532		28-Dec	✓				
401	S02P1076US00	3.3-961	7217/67667	10/399003		5-Jan	10-Jan				
402	S02P1106US00	3.3-962	7217/67670	10/399306		5-Jan	21-Jan				
403	S02P1108US00	3.3-963	7217/67671	10/399190		5-Jan	14-Jan				
407	S02P1208US00	3.0-967	7217/67765	10/235077							
409	S02P1227US00	3.0-969	7217/67766	10/242173		✓	✓				
410	S02P1235US00	3.3-970	7217/67767	10/416644							
411	S02P1240US00	3.0-971	7217/67768	10/252969		5-Jan	14-Jan				
404	S02P1164US00	3.3-964	7217/67771	10/399757		5-Jan	14-Jan				
405	S02P1184US00	3.3-965	7217/67772	10/415852		5-Jan	14-Jan				
406	S02P1198US00	3.3-966	7217/67773	10/415778		5-Jan	14-Jan				
408	S02P1221US00	3.3-968	7217/67774	10/416385		5-Jan	14-Jan				
412	S02P1428US00	3.3-972	7217/68052	10/450806		5-Jan	14-Jan				
413	S02P1503US00	3.0-973	7217/68167	10/313155		5-Jan	14-Jan				
414	S02P1543US00	3.3-974	7217/68170	10/451969		5-Jan	14-Jan				
415	S03P0037US00	3.0-975	7217/68334	10/336349							
416	S03P0047US00	3.0-976	7217/68336	10/339798							
417	S03P0071US00	3.3-977	7217/68340	10/417675	6914544						
420	S03P0071US03	3.3-977 CONT III	7217/68340-X	11/102372	6695329	5-Jan	9-Jan				
419	S03P0071US02	3.3-977 CONT II	7217/68340-Y	11/102359							
418	S03P0071US01	3.3-977 CONT I	7217/68340-Z	11/102406							
422	S03P0162US00	3.0-979	7217/68586	10/358965		1/5	1/27				
423	S03P0164US00	3.0-980	7217/68587	10/353510							
424	S03P0167US00	3.0-981	7217/68588	10/353509		29-Dec	✓				
427	S03P0182US00	3.0-984	7217/68590	10/364495							
428	S03P0183US00	3.0-985	7217/68591	10/360477							
429	S03P0199US00	3.0-986	7217/68592	10/371887		5-Jan	21-Jan				

No.	SONY Ref.	LDLKM file	C&D Ref.	App No.	Patent No.	Rec'd?	Rev'd?	IPDAS	R&SA Sent to SONY	Filed in PTO
421	S03P0143US00	3.3-978	7217/68594	10/474161	5-Jan	25-Jan				
425	S03P0169US00	3.3-982	7217/68595	10/473849	5-Jan	25-Jan				
426	S03P0176US00	3.3-983	7217/68596	10/472738	5-Jan	25-Jan				
433	S03P0308US00	3.3-989	7217/68597	10/502894	5-Jan	25-Jan				
430	S03P0263US00	3.0-987	7217/68715	10/375367	5-Jan	26-Jan				
431	S03P0263US01	3.0-987 CONT	7217/68715-Z		5-Jan	25-Jan				
432	S03P0289US00	3.0-988	7217/68716	10/387109	5-Jan	25-Jan				
435	S03P0363US00	3.3-991	7217/68831	10/469281	5-Jan	25-Jan				
439	S03P0490US00	3.0-995	7217/69074	10/414666	5-Jan	25-Jan				
436	S03P0435US00	3.3-992	7217/69076	10/478311	5-Jan	25-Jan				
437	S03P0455US00	3.3-993	7217/69077	10/509838	5-Jan	14-Jan				
438	S03P0487US00	3.3-994	7217/69078	10/478141						
440	S03P0499US00	3.3-996	7217/69079	10/480327	5-Jan	14-Jan				
451	S03P0768US00	3.3-1005	7217/69210	10/508403						
441	S03P0532US00	3.0-997	7217/69217	10/431834	5-Jan	14-Jan				
443	S03P0532US02	3.0-997 DIV II	7217/69217-Y	11/103211	24-Jan	28-Jan				
442	S03P0532US01	3.0-997 DIV I	7217/69217-Z	11/103210	24-Jan	28-Jan				
446	S03P0592US00	3.0-1000	7217/69218	10/428184	5-Jan	14-Jan				
444	S03P0574US00	3.3-998	7217/69219	10/512,164	5-Jan	14-Jan				
445	S03P0582US00	3.3-999	7217/69220	10/512,491	5-Jan	14-Jan				
447	S03P0652US00	3.3-1001	7217/69221	10/513844	5-Jan	14-Jan				
448	S03P0725US00	3.0-1002	7217/69297	10/445654	5-Jan	14-Jan				
449	S03P0732US00	3.3-1003	7217/69298	10/484583	5-Jan	14-Jan				
450	S03P0739US00	3.3-1004	7217/69299	10/517583	5-Jan	14-Jan				
452	S03P0819US00	3.0-1006	7217/69504	10/602174	5-Jan	25-Jan				
453	S03P0828US00	3.0-1007	7217/69505	10/606593	115	128				
454	S03P0842US00	3.0-1008	7217/69506	10/600749	115	128				
455	S03P0860US00	3.3-1009	7217/69507	10/488455	5-Jan	25-Jan				
456	S03P0862US00	3.??-1010	7217/69508							
457	S03P0882US00	3.3-1011	7217/69509	10/487606	5-Jan	25-Jan				
458	S03P0891US00	3.3-1012	7217/69510	10/488366	5-Jan	25-Jan				
460	S03P0982US00	3.0-1014	7217/69608	10/629418	5-Jan	25-Jan				
459	S03P0965US00	3.3-1013	7217/69609	10/489947	5-Jan	7-Jan				
461	S03P1076US00	3.0-1015	7217/69676	10/657695	28-Dec	✓				

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No.	SONY Ref.	LDLKM file	C&D Ref.	App No.	Patent No.	Rec'd?	Rev'd?	IPDAS	R&SA	Sent to SONY\Filed in PTO
463	S03P1115US00	3.0-1017	7217/69678	10/662903	6909558	5-Jan	25-Jan			
464	S03P1115US01	3.0-1017 CONT	7217/69678-Z	11/102309		5-Jan	25-Jan			
465	S03P1171US00	3.0-1018	7217/70905	10/663422						
466	S03P1208US00	3.0-1019	7217/70906	10/673729		29-Dec	✓			
467	S03P1244US00	3.0-1020	7217/70907	10/666496		1/5	1/27			
468	S03P1245US00	3.0-1021	7217/70908	10/681892		1/5	1/27			
491	S03P1490US00	3.0-1044	7217/70909	10/695465		1/5	1/27			
469	S03P1308US00	3.0-1022	7217/70978	10/688434		1/5	1/25			
470	S03P1311US00	3.0-1023	7217/70979	10/697467		1/5	1/27			
472	S03P1332US00	3.0-1025	7217/70980	10/706772		1/5	1/27			
474	S03P1351US00	3.0-1027	7217/70981	10/713365		1/5	1/27			
471	S03P1320US00	3.??-1024	7217/70982	10/533,612		5-Jan	18-Jan			
473	S03P1350US00	3.?? 342-1026	7217/70983			5-Jan	18-Jan			
475	S03P1352US00	3.3-1028	7217/70984			5-Jan	18-Jan			
476	S03P1378US00	3.0-1029	7217/71162	10/737635		5-Jan	25-Jan			
477	S03P1397US00	3.3-1030	7217/71163	10/536060		5-Jan	18-Jan			
478	S03P1402US00	3.3-1031	7217/71164	10/532546		5-Jan	18-Jan			
479	S03P1405US00	3.3-1032	7217/71165	10/532396		5-Jan	18-Jan			
480	S03P1409US00	3.3, 347-1033	7217/71166			5-Jan	18-Jan			
482	S03P1431US00	3.3-1035	7217/71167	10/503957		5-Jan	18-Jan			
484	S03P1445US00	3.3-1037	7217/71168	10/502621		5-Jan	15-Jan			
485	S03P1471US00	3.??-1038	7217/71169	10/538879		5-Jan	18-Jan			
486	S03P1479US00	3.3-1039	7217/71170	10/505,794		5-Jan	15-Jan			
488	S03P1481US00	3.3-1041	7217/71172	10/534,142		5-Jan	15-Jan			
489	S03P1482US00	3.3-1042	7217/71173	10/536,742		5-Jan	15-Jan			
490	S03P1483US00	3.3-1043	7217/71174	10/505793		5-Jan	15-Jan			
483	S03P1443US00	3.0-1036	7217/71175	10/696024						
497	S04P0041US00	3.0-1049	7217/71464	10/738418		5-Jan	14-Jan			
499	S04P0072US00	3.0-1051	7217/71465	10/733494		5-Jan	21-Jan			
501	S04P0088US00	3.0-1053	7217/71466	10/739442		5-Jan	15-Jan			
503	S04P0110US00	3.0-1055	7217/71467	10/760508		5-Jan	24-Jan			
493	S04P0034US00	3.?? 3497-1046	7217/71468			5-Jan	18-Jan			
494	S04P0036US00	3.??-1047	7217/71469	10/506,259		5-Jan	18-Jan			
495	S04P0036US01	3.??-1047 DIV OR CONT??	7217/71469-Z			5-Jan	18-Jan			

No.	SONY Ref.	LDLKM file	C&D Ref.	App No.	Patent No.	Rec'd?	Rev'd?	IPDAS	R&SA Sent to SON	Filed in PTO
496	S04P0040US00	3.??-1048	7217/71470	10/506,461	5-Jan	18-Jan				
498	S04P0063US00	3.3-1050	7217/71471	10/500647	5-Jan	24-Jan				
500	S04P0083US00	3.??-1052	7217/71472	10/541278	5-Jan	18-Jan				
502	S04P0108US00	3.3-1054	7217/71473	10/507034	5-Jan	24-Jan				
505	S04P0199US00	3.0-1057	7217/71725	10/768786	5-Jan	21-Jan				
507	S04P0230US00	3.0-1059	7217/71726	10/780191	5-Jan	24-Jan				
508	S04P0248US00	3.0-1060	7217/71727	10/782434	5-Jan	24-Jan				
509	S04P0252US00	3.0-1061	7217/71728	10/784439	5-Jan	24-Jan				
504	S04P0190US00	3.3-1056	7217/71732	10/507716	5-Jan	27-Jan				
506	S04P0206US00	3.??-1058	7217/71735	10/542431	5-Jan	18-Jan				
510	S04P0255US00	3.0-1062	7217/71737	10/511060			1/5	1/50		
512	S04P0317US00	3.0-1064	7217/71822	10/786834			1/5	1/50		
513	S04P0361US00	3.0-1065	7217/71823	10/799893	6891483	28-Dec	✓			
517	S04P0361US04	3.0-1065 CONT III	7217/71823-W	11/094919			28-Dec	✓		
516	S04P0361US03	3.0-1065 CONT II	7217/71823-X	11/095184			28-Dec	✓		
515	S04P0361US02	3.0-1065 CONT I	7217/71823-Y	11/094906			28-Dec	✓		
514	S04P0361US01	3.0-1065 DIV	7217/71823-Z	11/095183			28-Dec	✓		
511	S04P0292US00	3.3-1063	7217/71824	10/511733			1/5	1/30		
518	S04P0395US00	3.??-1066	7217/71826							
519	S04P0485US00	3.0-1067	7217/71976	10/807780			1/5	1/30		
520	S04P0486US00	3.0-1068	7217/71977	10/813454			1/5	1/30		
521	S04P0489US00	3.0-1069	7217/71978	10/800561			1/15	1/30		
522	S04P0506US00	3.0-1070	7217/71979	10/816643			5-Jan	14-Jan		
523	S04P0542US00	3.0-1071	7217/71980	10/825004			5-Jan	14-Jan		
524	S04P0552US00	3.0-1072	7217/71981	10/818512			5-Jan	14-Jan		
525	S04P0555US00	3.0-1073	7217/71982	10/825340			5-Jan	14-Jan		
526	S04P0567US00	3.0-1074	7217/71983	10/817637			5-Jan	14-Jan		
527	S04P0626US00	3.0-1075	7217/72084	10/832857			5-Jan	14-Jan		
529	S04P0669US00	3.0-1077	7217/72085	10/834798			5-Jan	14-Jan		
530	S04P0688US00	3.0-1078	7217/72086	10/822198			5-Jan	14-Jan		
533	S04P0717US00	3.0-1081	7217/72087	10/853332			5-Jan	10-Jan		
528	S04P0643US00	3.??-1076	7217/72089	10/510011			5-Jan	14-Jan		
531	S04P0692US00	3.3-1079	7217/72092	10/521141			5-Jan	14-Jan		
532	S04P0693US00	3.3-1080	7217/72093	10/518677			5-Jan	14-Jan		

No.	SONY Ref.	LDLKM file	C&D Ref.	App No.	Patent No.	Rec'd?	Rev'd?	IPDAS	R&SA	Sent to SONY/	Filed in PTO
534	S04P0764US00	3.0-1082	721772270	10/853334	5-Jan	14-Jan					
535	S04P0794US00	3.0-1083	721772271	10/860747	5-Jan	14-Jan					
536	S04P0799US00	3.0-1084	721772272	10/860739	5-Jan	14-Jan					
537	S04P0805US00	3.??-1085	721772273		5-Jan	14-Jan					
538	S04P0806US00	3.0-1086	721772274	10/864130	5-Jan	14-Jan					
540	S04P0811US00	3.0-1088	721772275	10/855221	5-Jan	14-Jan					
541	S04P0819US00	3.0-1089	721772276	10/865612	5-Jan	14-Jan					
542	S04P0822US00	3.0-1090	721772277	10/863462	5-Jan	14-Jan					
543	S04P0836US00	3.0-1091	721772278	10/871448	5-Jan	9-Jan					
544	S04P0854US00	3.0-1092	721772279	10/862657	5-Jan	9-Jan					
545	S04P0855US00	3.0-1093	721772280	10/863440	5-Jan	9-Jan					
546	S04P0861US00	3.0-1094	721772281	10/871250	5-Jan	9-Jan					
539	S04P0808US00	3.3-1087	721772282	10/523515	5-Jan	14-Jan					
547	S04P0973US00	3.0-1095	721772358	10/879435	5-Jan	9-Jan					
548	S04P0983US00	3.0-1096	721772359	10/886901	5-Jan	9-Jan					
549	S04P1024US00	3.0-1097	721772360	10/888290	1/15	1/36					
550	S04P1071US00	3.0-1098	721772546	10/900811	1/15	1/30					
552	S04P1091US00	3.0-1100	721772547	10/912390	1/15	1/30					
553	S04P1101US00	3.0-1101	721772548	10/901280	1/15	1/30					
554	S04P1105US00	3.0-1102	721772549	10/909048	5-Jan	15-Jan					
555	S04P1140US00	3.0-1103	721772550	10/925460	5-Jan	15-Jan					
556	S04P1146US00	3.0-1104	721772551	10/925194	5-Jan	15-Jan					
557	S04P1219US00	3.0-1105	721772684	10/926887	5-Jan	15-Jan					
558	S04P1241US00	3.0-1106	721772685	10/935409	5-Jan	15-Jan					
559	S04P1289US00	3.3-1107	721772687	10/527.129	5-Jan	15-Jan					
560	S04P1410US00	3.0-1108	721772847	10/946510	5-Jan	15-Jan					
561	S04P1415US00	3.0-1109	721772848	10/941515	5-Jan	15-Jan					
562	S04P1424US00	3.0-1110	721772849	10/948274	5-Jan	15-Jan					
563	S04P1456US00	3.0-1111	721772850	10/952425	5-Jan	15-Jan					
564	S04P1470US00	3.0-1112	721772851	10/967529	5-Jan	7-Jan					
565	S04P1471US00	3.0-1113	721772852	10/965516	5-Jan	7-Jan					
566	S04P1497US00	3.0-1114	721772853	10/964934	28-Dec	✓					
567	S04P1509US00	3.0-1115	721772854	10/965294	5-Jan	7-Jan					
568	S04P1552US00	3.0-1116	721772989	10/972968							

No.	SONY Ref.	LDLKM file	C&D Ref.	App No.	Patent No.	Rec'd?	Rev'd?	IPDAS	R&SA	Sent to SON\ Filed in PTO
569	S04P1560US00	3.0-1117	721772990	10/979941	5-Jan	7-Jan				
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571	S04P1595US00	3.0-1119	721772992	10/976731	5-Jan	7-Jan				
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573	S04P1609US00	3.??-1121	721772994	10/980,383	6-Jan	7-Jan				
574	S04P1614US00	3.0-1122	721772995	10/992970	6-Jan	7-Jan				
575	S04P1619US00	3.0-1123	721772996	10/987685	6-Jan	7-Jan				
576	S04P1620US00	3.0-1124	721772997	10/993762	6-Jan	7-Jan				
577	S04P1648US00	3.0-1125	721773138	10/990248	6-Jan	7-Jan				
578	S04P1650US00	3.0-1126	721773139	10/998775	5-Jan	12-Jan				
579	S04P1658US00	3.0-1127	721773140	10/987851	5-Jan	12-Jan				
580	S04P1663US00	3.0-1128	721773141	10/998778	5-Jan	12-Jan				
581	S04P1678US00	3.0-1129	721773142	10/990093	5-Jan	12-Jan				
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584	S05P0140US00	3.0-1132	721773554	11/045990	5-Jan	12-Jan				
585	S05P0290US00	3.0-1133	721773667	11/073973	#####	10-Jan				
586	S05P0299US00	3.0-1134	721773668	11/064676	5-Jan	12-Jan				
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589	S05P0329US00	3.0-1137	721773671	11/071619	5-Jan	12-Jan				
590	S05P0333US00	3.0-1138	721773672	11/076140	/ /	/ /				
591	S05P0420US00	3.0-1139	721773849	11/087166	5-Jan	13-Jan				
592	S05P0421US00	3.0-1140	721773850	11/077856	5-Jan	13-Jan				
593	S05P0424US00	3.0-1141	721773851	11/091818	5-Jan	13-Jan				
594	S05P0425US00	3.0-1142	721773852	11/093416	5-Jan	13-Jan				
596	S05P0449US00	3.0-1144	721773854	11/089,200	5-Jan	13-Jan				
597	S05P0461US00	3.0-1145	721773855	11/089196	5-Jan	13-Jan				
598	S05P0490US00	3.0-1146	721773856	11/105701	5-Jan	13-Jan				
599	S05P0493US00	3.0-1147	721773857	11/107,147	5-Jan	13-Jan				
600	S05P0495US00	3.0-1148	721773858	11/102431	5-Jan	13-Jan				
602	S05P0542US00	3.0-1150	721773998	11/106136	5-Jan	13-Jan				
603	S05P0591US00	3.0-1151	721773999	11/113874	5-Jan	13-Jan				
604	S05P0596US00	3.0-1152	721774000	11/126894	5-Jan	13-Jan				

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606	S05P0642US00	3.0-1154	7217/74002	11/126985		5-Jan	13-Jan			
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608	S05P0719US00	3.??-1156	7217/74215	11/135259		5-Jan	8-Jan			
609	S05P0744US00	3.??-1157	7217/74216	11/136926		5-Jan	9-Jan			
610	S05P0762US00	3.??-1158	7217/74217	11/144109		5-Jan	9-Jan			
611	S05P0792US00	3.??-1159	7217/74218	11/150999		5-Jan	9-Jan			
612	S05P0793US00	3.??-1160	7217/74219	11/155369		5-Jan	9-Jan			
613	S05P0794US00	3.??-1161	7217/74220	11/151089		5-Jan	9-Jan			
614	S05P0872US00	3.??-1162	7217/74339	11/158729		5-Jan	9-Jan			
615	S05P0876US00	3.??-1163	7217/74340	11/171552		5-Jan	9-Jan			
616	S05P0927US00	3.??-1164	7217/74341	11/179903		5-Jan	9-Jan			
617	S05P0938US00	3.??-1165	7217/74342	11/175056		5-Jan	9-Jan			
618	S05P0939US00	3.??-1166	7217/74343	11/177144		5-Jan	9-Jan			
619	S05P0943US00	3.??-1167	7217/74344	11/182409		5-Jan	9-Jan			
620	S05P0956US00	3.??-1168	7217/74345	11/183659		5-Jan	9-Jan			
824	S99P0386US00	3.3-1232	7246/58595	09/445044	6904158	5-Jan	7-Jan			
825	S99P0386US01	3.3-1232 DIV	7246/58595-Z	10/789006		5-Jan	9-Jan			
826	S99P0494US00	3.0-1233	7246/58772	09/462615		12-Dec	✓			
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852	S99P1548US02	3.3-1249 DIV II	7246/60869-Y	10/855223		5-Jan	21-Jan			
851	S99P1548US01	3.3-1249 DIV I	7246/60869-Z	10/697580		5-Jan	23-Jan			
26	S00P0694US00	3.3-712	7246/61720	09/763012			5-Jan	27-Jan		
69	S00P1402US02	3.3-735 CONT	7246/63014-Y			5-Jan	26-Jan			
73	S00P1544US00	3.3-739	7246/63313	09/913946		5-Jan	26-Jan			
87	S01P0185US02	3.3-747 DIV II	7246/63762-Y	11/018232		5-Jan	25-Jan			
86	S01P0185US01	3.3-747 DIV I	7246/63762-Z	11/018247		5-Jan	25-Jan			
104	S01P0340US00	3.3-758	7246/64052	10/009331			11/28			
105	S01P0340US01	3.3-758 DIV	7246/64052-Z	11/065734		5-Jan	24-Jan			
267	S01P1899US00	3.3-862	7246/66065	10/204982						
848	S99P1530US00	3.3-1248	7254/60340	09/555850	6766306	1/5	1/24			
849	S99P1530US01	3.3-1248 DIV	7254/60340	10/689803						
66	S00P1399US00	3.0-734	7254/63012	09/868029		5-Jan	18-Jan			
107	S01P0380US00	SONYTA 3.0-152	7254/64037	09/804744						

No.	SONY Ref.	LDI KM file	C&D Ref.	App No.	Patent No.	Rec'd?	Rev'd?	IPDAS	R&SA	Sent to SONY/ filed in PTO
251	S01P1831US00	3.3-851	7254/66051	10/182591						
624	S84P0210US00	3.0-1172	S01990	06/643488	4651312					
623	S80P0131US00	3.??-1171	SO1352	06/191766		NP				
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52	S00P1245US01	3.3-726 DIV		10/926119						
79	S00P1638US00	SONYAK 3.3-239		09/652326						
270	S01P1915US00	3.3-865	65920	10/149005						
621	S72P0075US00	3.??-1169		05/281111						
622	S77P0141US00	3.??-1170								
632	S91P0184US00	3.??1175		07/680406						
635	S91P0318US00	3.0-1177	3 9024	07/715646						
636	S91P0318US01	3.0-1177 CONT		147915	5323274					
647	S92P0094US00	3.??-1182		832897						
648	S92P0094US01	3.??1182 DIV OR CONT		179773						
649	S92P0094US02	30-1182-DIV-OR-CONT-FWD	40403-B	08/345208	ABN	15	1188			
655	S92P0886US00	3.3-1185	44280	107681						
656	S92P0886US01	3.3-1185 DIV I	44280-2	287496						
657	S92P0886US02	3.3-1185 DIV II	44280-Y	287497						
658	S92P0886US03	3.3-1185 DIV III	44280-X	287498						
659	S92P0886US04	3.3-1185 DIV IV	44280-V	287531	5666136					
660	S92P0886US05	3.3-1185 <del>2</del> PWL	44280-A	08/535791						
661	S92P0886US06	3.3-1185 DIV II CONT	44280-Y-A	867039	5818418					
662	S92P0886US07	3.3-1185 DIV III CONT	44280-Y-A	893589	5835093					
663	S82P0886US08	3.3-1185 DIV I CONT	44280-Z-A	880559	6067072					
723	S94P0194US00	3.0-1188		08/218416	5642135	5-Jan	25-Jan			
724	S94P0194US01	3.0-1188??		08/28164						
731	S95P0178US00	3.0-1191	Y7270	421945						
745	S95P0533US00	3.0-1194		525212						
746	S95P0533US01	3.0-1194 DIV	22 78338-2	815493	5894390	1/5	1/28			
747	S95P0533US02	3.0-1194 CONT	7217/48338-A	08/832372	5894391	1/5	1/27			
749	S95P0533US04	3.0-1194 ??								
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754	S95P0854US00	3.0-1197		08/537100	ABN	5-Jan	7-Jan			

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No.	SONY Ref.	LDLKM file	C&D Ref.	App No.	Patent No.	Rec'd?	Rev'd?	IPDAS	R&SA Sent to SON	Filed in PTO
755	S95P0854US01	3.0-1197 CONT	7217/48544-A	899838	5768467	115	1628			
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758	S96P0255US00	3.0-1199	7217/50539	03/627580	5777585	1/5	1/26			
765	S96P1065US00	3.2-1204		08764394		NP				
797	S98P0813US01	3.2-1217								
853	S99P1549US00	3.3-1250		09/601786	6810004					
	REVISED 1/26/06									

**CASE NOTES**

RECEIVED

MAY 22 2007

File Name: SONYJP 3.0-1211

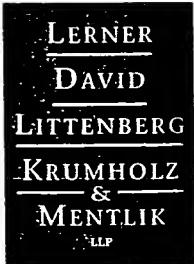
OFFICE OF PETITIONS

Client Matter No.: 1475

**CONFIDENTIAL**

DATE	PER	FILE NOTES
1/11/06	JODI	PROBLEM – I called the PTO today to try to determine why the above-noted case has not issued when the fee was paid on 10/23/00. I was advised that this case was abandoned for failure to file the drawings – now what?

621565\_1.DOC



600 SOUTH AVENUE WEST • WESTFIELD, NEW JERSEY 07090  
908.654.5000 • FAX 908.654.7866 • [WWW.LDLKM.COM](http://WWW.LDLKM.COM)

PATENTS, TRADEMARKS, COPYRIGHTS & UNFAIR COMPETITION

Robert B. Cohen  
908.518.6316  
[rcohen@ldkm.com](mailto:rcohen@ldkm.com)

March 8, 2006

**BY FACSIMILE – 011-81-3-5435-3043**  
**CONFIRMATION BY MAIL**

Mr. Yoshihide Nakamura  
Senior General Mgr., Intellectual Property Div.  
Sony Corporation  
Osaki East Technology Center  
Gate City Osaki 1-11-1 Osaki  
Shinagawa-ku, Tokyo 141-0032 JAPAN

Re: SONYJP 3.0-1211  
U.S. Appln. No. 09/048,076  
VECTOR SEARCH METHOD  
Your Ref. No.: S98P297US00

Dear Mr. Nakamura:

We recently received the above-captioned file as a transfer from Cooper & Dunham. When reviewing the file, we found a problem.

The Notice of Allowance which issued on July 27, 2000 included a requirement for corrected drawings and new formal drawings. When the Cooper & Dunham firm paid the issue fee on October 23, 2000, they neglected to submit the new formal drawings required by the Patent Office. As a result, a Notice of Abandonment was apparently issued, although a copy of same is not in the file.

We are prepared to file a petition to revive the application based on unintentional delay. The fee for the petition is \$1,500, and since the Notice of Abandonment issued about five years ago, it is questionable whether such petition will be granted. Therefore, before proceeding, we seek your authorization to file the petition with the Patent Office.



Mr. Yoshihide Nakamura  
March 8, 2006  
Page 2

We look forward to receiving your instructions. Should you have any questions regarding this matter, please do not hesitate to contact us.

Sincerely,

LERNER, DAVID, LITTENBERG,  
KRLUMHOLZ & MENTLIK, LLP



ROBERT B. COHEN

RBC/mh:emb

06-05-30; 08:38PM;

LERNER, LITTE RG ;

# 1  
RBC

**SONY**

Intellectual Property Department, Sony Corporation  
Gate City Osaki 1-11-1 Osaki, Shinagawa-ku, Tokyo, 141-0032 Japan

Telephone: +81-3-5435-3910  
Fax: +81-3-5435-3043

May 30, 2006

Mr. Robert B. Cohen  
LERNER, DAVID, LITTBENBERG, KRUMHOLZ & MENTLIK, LLP.  
600 South Avenue West, Westfield,  
New Jersey 07090  
U.S.A.

Re: SONYJP 9,0-001 General Correspondence

Dear Mr. Robert B. Cohen,

In connection with the above identified case, we acknowledge receipt of and thank you for your letter of March 24, 2006.

Will you please file a petition to revive with respect to items 3 and 5 shown below.  
Sorry for delaying our instruction.

	Your Ref. No.	Application No.	Our Ref. No.
3.	SONY JP 3.0-1203	08/736,546	S96P0903US00
5.	SONY JP 3.0-1211	09/048,076	S98P0297US00

/1546 C  
/1475 C

We appreciate your cooperation in this matter.

Very truly yours,

*Yoshihiko Odeawa*

for

Fumihiro Moriya  
Senior General Manager  
Intellectual Property Division

FM:YO:

User	Application	Activity	Date - Time	Duration	Pages Printed	Location	Comments
PHYLLISK	OUTLOOK	View	4/11/2007 4:23:32 PM	0:0:0	0	PHYLLISK1	
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PHYLLISK	OUTLOOK	Copy	4/11/2007 3:53:33 PM	0:0:0	0	PHYLLISK1	Copied to document LDLKM_755206_1.DOC
PHYLLISK	OUTLOOK	View	4/11/2007 3:53:04 PM	0:0:0	0	PHYLLISK1	
AVAF	OUTLOOK	View	1/29/2007 1:10:54 PM	0:0:0	0	AVAF1	
AVAF	WINWORD	Checkin	1/25/2007 4:46:29 PM	0:0:22	0	AVAF1	
AVAF	OUTLOOK	Checkout	1/25/2007 4:46:07 PM	0:0:0	0	AVAF1	
PHYLLISK	OUTLOOK	Copy	9/1/2006 10:47:33 AM	0:0:0	0	PHYLLISK1	Copied to document LDLKM_689502_1.DOC
GKN	OUTLOOK	View	6/30/2006 11:21:59 AM	0:0:0	0	GKN1	
PHYLLISK	OUTLOOK	View	6/27/2006 5:26:30 PM	0:0:0	0	PHYLLISK1	
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PHYLLISK	WINWORD	Checkin	6/8/2006 3:20:13 PM	0:20:31	0	PHYLLISK1	
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User	Application	Activity	Date/Time	Duration	Pages Printed	Location	Comments
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PHYLLISK	WINWORD	Checkin	6/7/2006 3:37:14 PM	0:3:7	0	PHYLLISK1	
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Dkt. 7217/55493

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Yuji MAEDA et al.

Serial No. : 09/048,076

Group Art Unit 2741

Filed : March 26, 1998

Examiner A. Azad

For : VECTOR SEARCH METHOD

**DECLARATION OF JAY H. MAIOLI IN SUPPORT OF PETITION  
TO REVIVE APPLICATION UNDER 37 C.F.R. §1.137(b)**

I, JAY H. MAIOLI, declare that:

1. I am, and at all times material hereto have been, an attorney at law, a member of the bar of the State of New York and registered to practice before the United States Patent and Trademark Office (Reg. No. 27,213). From a time prior to 1995, until on or about January 31, 2006, I was a partner in the law firm of Cooper & Dunham LLP (Cooper & Dunham) with offices at 1185 Avenue of the Americas, New York, NY 10036.

2. From the filing date of the above-identified application until after January 1, 2006, I was an attorney of record in the above-identified application and was the attorney primarily responsible for prosecuting the application in the United States Patent and Trademark Office (USPTO). Communications from the USPTO concerning the above-identified application, including the Notice of Allowability and accompanying Notice of Allowance dated July 27, 2000, were addressed to me at the above office of Cooper & Dunham throughout this period.

3. In or about December 2005, Sony Corporation (Sony), assignee of the above-identified application and of other U.S. patent applications and patents as to which I was an attorney of record, initiated a transfer of responsibility for the above-identified application and such other applications and patents from Cooper & Dunham to the law firm of Lerner, David, Littenberg, Krumholz & Mentlik (Lerner, David) of Westfield, NJ. Under Sony's instructions, in or about December 2005 and January 2006 my files of all those applications and patents including the above-identified application were sent by Cooper & Dunham to Lerner, David without retaining copies of the contents thereof; I did not remove anything from those files, and I am informed and believe that no other person at Cooper & Dunham removed anything from those files, before the files were physically transported from Cooper & Dunham to Lerner, David. The powers of attorney previously given to me and other attorneys of Cooper & Dunham in all of these cases were revoked by Sony, and, as I am informed and believe, new powers of attorney in all these cases were given by Sony to attorneys of Lerner, David. From that time, I no longer had access to my file of the above-identified application, or to the file wrapper of the application in the USPTO, although I am informed and believe that during September 2006, Cooper & Dunham sought to obtain a copy of the USPTO file wrapper under a Power to Inspect from Lerner, David, and did obtain such a copy during February 2007 after a delay occasioned by the reported unavailability of the file wrapper in the USPTO.

4. On or about September 12, 2006, Robert B.G. Horowitz, a partner in Cooper & Dunham, advised me by telephone that he had been notified by Sony that the above-identified application and several others had become abandoned in or about 2000 for failure of the USPTO to receive formal drawings as required in the

aforementioned Notice of Allowability dated July 27, 2000. On or after about January 9, 2007, he informed me that a Petition for Revival of the above-identified application filed by Robert B. Cohen of Lerner, David on June 26, 2006, had been dismissed in a USPTO decision dated December 19, 2006.

5. Prior to September 12, 2006, I did not know and did not believe that the above-identified application had ever been abandoned, or that the formal drawings required in the Notice of Allowability had not been timely filed in the USPTO.

6. The entire delay in filing the required reply (formal drawings) from the due date for the reply (October 27, 2000<sup>1</sup>) until the filing of a grantable petition under 37 C.F.R. §1.137(b) was unintentional.

7. During or shortly after July 2000, Cooper & Dunham received by mail from the USPTO a Notice of Allowance dated July 27, 2000, in the above-identified application, setting a due date of October 27, 2000, for payment of the issue fee, and a Notice of Allowability requiring corrected formal drawings by the same due date.

---

<sup>1</sup>I am informed and believe that the aforementioned decision dated December 19, 2006, states that "No extensions of time are permitted for transmitting formal drawings" but I am not certain that this was true as of the date of the Notice of Allowance, July 27, 2000, or that a subsequent regulatory change was applicable to the requirement for formal drawings in the above-identified application; if not, the extended due date for the reply would have been January 27, 2001.

8. The procedure at that time for handling communications from the USPTO addressed to me at Cooper & Dunham was as follows:

- (a) All incoming mail was received first in the mail room of Cooper & Dunham. Envelopes from the USPTO were sorted and delivered by mail room personnel to the docketing department of Cooper & Dunham.
- (b) In the docketing department, on the same day that the mail was received in the mail room, a clerk trained in patent prosecution docketing procedures opened each USPTO envelope, stamped the enclosed communication with the date received, entered its mailing date, required responses (e.g., issue fee and formal drawings), Cooper & Dunham docket number and responsible attorney in a computerized docket system, and caused the communication to be delivered to the responsible attorney within the Cooper & Dunham office. Mail for me was placed on a dedicated table in my room, where I inspected it and, with the assistance of my secretary, caused its response due date to be entered on my personal docket calendar, reported it by letter to the client to whose application it related, and placed it in the file of that application. Upon receipt of a Notice of Allowance, it was also my practice to have a pink slip placed on the application file and have the file placed in a dedicated file drawer to ensure timely attention.
- (c) Before the beginning of each month and week, the computerized docket system would generate and print out, for each attorney of Cooper & Dunham handling USPTO matters, a "Monthly Docket Sheet" and "Weekly Docket Sheet" listing, in chronological order, docket prompts for all USPTO due dates for which that attorney was responsible in that month or week. These Monthly and Weekly Docket Sheets were based on the information entered in the computerized docket system when USPTO communications

were received as described above. Each attorney received his or her Monthly or Weekly Docket Sheet from the docketing department before the first day of the month or week to which the sheet related. I used my Monthly and Weekly Docket Sheets as a back-up to my personal calendar as a source of prompts.

(d) When an attorney caused a paper, fee or other item to be mailed to the USPTO in response to a USPTO communication, the response was accompanied by a postpaid return card, and a copy of the return card was given by the attorney or his or her secretary to the docketing department on the same date. A clerk in the docketing department entered the mailing date from the return card into the computerized docket system, recording that the response had been mailed.

Although I did not retain my personal calendar and Monthly and Weekly Docket Sheets after the time periods to which they related, I believe that the foregoing procedures were followed in the case of the Notice of Allowance and accompanying Notice of Allowability of the above-identified application, as these were the standard procedures followed by Cooper & Dunham and by me from a time before 1999 until a time after 2000, and I recall no departure from those procedures in any instance.

9. Upon receiving the Notice of Allowance and Notice of Allowability of the above-identified application in July 2000 or shortly thereafter, I caused a letter to be written to Sony reporting these communications and (in accordance with long-standing practice agreed between Sony and me) advising Sony that unless I received contrary instructions I would pay the issue fee shortly before the due date. A copy of this letter, which I am informed and believe was recently supplied by Lerner, David to Cooper & Dunham from my file of the application, is attached hereto as EXHIBIT 1. The letter was dated August 15, 2000, and, though

bearing my name, was signed for me by Pedro Carlos Fernandez, an attorney registered to practice in the USPTO who was then employed as an associate by Cooper & Dunham and who at that time was assigned to work on Sony patent applications under my direction. Mr. Fernandez left the employ of Cooper & Dunham while I was still a partner of Cooper & Dunham; I have no knowledge of his present whereabouts.

10. As was customary, I received no contrary instructions or other reply to this letter from Sony, it being understood between us that I would proceed to pay the issue fee and meet other requirements (such as filing formal drawings) on a timely basis to obtain issuance of a patent on the allowed application, without any specific acknowledgment or instructions from Sony. In accordance with this understanding, I caused the required issue fee for the above-identified application to be mailed to the USPTO in advance of the due date therefor.

11. Specifically, on October 23, 2000, I caused a check in payment of the issue fee for the above-identified application to be mailed to the USPTO by first-class mail together with Form PTOL-85B, including a Certificate of Mailing under 37 C.F.R. §1.8, signed by Mr. Fernandez. A copy of this Form PTOL-85B, bearing his signature, is attached hereto as EXHIBIT 2. I am informed and believe that this copy is a photocopy of a paper contained in the file wrapper of the above-identified application obtained by Cooper & Dunham in February 2007. A photocopy of the issue fee check (including an issue fee payment in another application as well, and bearing the docket No. 55493 for the above-identified application), and of the accompanying return card as mailed, is attached hereto as EXHIBIT 3; I am informed and believe that this copy is a

photocopy of papers contained in my file of the above-identified application which was sent by Cooper & Dunham to Lerner, David.

12. I am informed and believe that my file of the above-identified application, now in the custody of Lerner, David, contains no document or other record indicating that corrected formal drawings for the above-identified application were ever transmitted by me or anyone else at Cooper & Dunham to the USPTO, as required in the Notice of Allowability.

13. The drawing corrections which resulted in the requirement for corrected formal drawings had been submitted by me to the USPTO in at least two communications, of which the latest was filed May 16, 2000; in that communication, I stated that "Upon approval of the herein-proposed drawing changes and the issuance of a Notice of Allowance, Applicants will promptly attend to correcting the formal drawings in accordance with present Office practices." This statement expressed my intent at the time I made it, and I did not thereafter alter that intent in any way. A copy of that communication is attached as EXHIBIT 4 hereto; I am informed and believe that this copy is a photocopy of a paper in the copy of the USPTO file wrapper of the above-identified application obtained by Cooper & Dunham during February 2007.

14. Attached hereto as EXHIBIT 5 is a Declaration of Michelle Metri, currently employed as a clerk in the docketing department of Cooper & Dunham, with attached EXHIBIT A. I am informed and believe that EXHIBIT A is a printout she made in February 2007 from the Cooper & Dunham computerized docket system listing all entries made in the system, and all docket prompts (due dates) included in my Monthly Docket Sheets, for the above-identified application from

the date of filing of the application in the USPTO until the February 2007 date of the printout.

15. EXHIBIT A shows that:

- (a) the Notice of Allowance and Notice of Allowability in the above-identified application were duly entered in the computerized docket system for payment of the issue fee and filing the formal drawings, with the correct due date for both (October 27, 2000), and with an extended due date of January 27, 2001, for filing the formal drawings;
- (b) the payment of the issue fee was correctly entered in the computerized docket system with a mailing date of October 23, 2000.

Thus, EXHIBIT 5 and its attached EXHIBIT A show that the due dates for issue fee payment and filing formal drawings in the above-identified application, and the mailing of the payment, were properly entered in the computerized docket system.

16. EXHIBIT 5 and its attached EXHIBIT A also show that a Notice of Abandonment of the above-identified application, dated February 12, 2001, was apparently received at an unspecified date and entered in the computerized docket system by a person who was then acting as a docket clerk for Cooper & Dunham, and that a due date of April 27, 2001, for response to such Notice of Abandonment (15 days too late) was entered into the system. As a result, a docket prompt for response to the Notice of Abandonment, though too late in date through clerical error, should have appeared on my Monthly or Weekly Docket Sheets covering the latter date.

17. Although the Notice of Abandonment should have been handled in accordance with the procedures set forth in paragraph 8

above, I do not recall and do not believe that I ever received or knew of the aforesaid Notice of Abandonment prior to September 12, 2006, or that I was ever aware that the above-identified application had become abandoned, at any time before September 12, 2006. I do not know why I did not receive the Notice of Abandonment. Attached hereto as EXHIBIT 6 is a photocopy of a Notice of Abandonment of the above-identified application, dated 02/12/01, which I am informed and believe is a photocopy of a paper in the USPTO file wrapper of the above-identified application. I do not believe that I ever saw this Notice of Abandonment, or any copy of it, prior to March 2007. If I had received it, it should have been placed in my file of the above-identified application.

18. I am informed and believe that the aforesaid Notice of Abandonment of the above-identified application is not contained in my file of the above-identified application, now in the custody of Lerner, David.

19. My failure to timely file, or cause to be timely filed, corrected formal drawings in the above-identified application was the result of oversight and was entirely unintentional. My failure to timely respond, or cause a timely response to be made, to the Notice of Abandonment, insofar as I should have been aware of it from docket sheet entries, was also the result of oversight and was entirely unintentional. At all pertinent times and thereafter, my intent was to satisfy all requirements for grant of a patent on the above-identified application.

20. In the years 2000 and 2001, Cooper & Dunham's firm docketing procedures did not include any procedure for notifying an attorney that no patent had issued in an allowed application in which an issue fee had been paid, regardless of the length of time

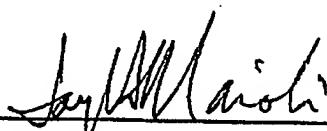
that had elapsed; and I also had no personal procedure or system for bringing to my attention a delay, no matter how long, in issuance of a patent in applications for which I was responsible. At any given time during that period, I was responsible for the prosecution of hundreds of concurrently pending U.S. patent applications. Consequently, after I had unintentionally failed, through oversight, to meet the deadlines for the timely filing and timely response referred to in paragraph 19 above, until September 12, 2006, it did not and would not have come to my attention that the requirements for grant of a patent on the above-identified application had not been met.

21. At the time my file of the above-identified application was transferred to Lerner, David as described above, I was not aware that a requirement for corrected formal drawings (or any other requirement for grant of a patent) had not been timely met, and I was not aware that the application had become abandoned for any reason. Neither I nor (as I am informed and believe) anyone else at Cooper & Dunham reviewed the file substantively, in preparation for its transfer to Lerner, David, to determine whether there was any outstanding requirement and/or whether the application had become abandoned. Since the abandoned status of the application was thus unknown to me and others at Cooper & Dunham, at the time of the transfer, we did not and could not have called the attention of Lerner, David to such status at that time.

22. I am informed and believe that the delay in filing formal drawings in the above-identified application, and the fact that the application became abandoned, was first discovered by personnel at Lerner, David in the course of reviewing the Sony files sent to Lerner, David from Cooper & Dunham, some time after December 2005 but before June 26, 2006.

23. In summary, until September 12, 2006, I believed that all requirements of the USPTO for grant of a patent in the above-identified application had been timely met, and that the application had not become abandoned; and it was for this reason that the entire unintentional delay in filing the drawings occurred. Before and throughout that period, I never received any instruction or other communication from the assignee of the application, Sony Corporation, indicative of any intent on Sony's part to abandon the application; on the contrary, I always believed (as in fact was and continues to be the case) that it was and is Sony's intent not to abandon the application but to obtain grant of a U.S. patent thereon.

I hereby declare further that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.



---

JAY H. MAIOLI

Date 03.29.07

(1)

COOPER & DUNHAM LLP  
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PATENT AGENT  
JENNIFER H. BURDMAN

FOUNDED 1887  
[www.cooperdunham.com](http://www.cooperdunham.com)

August 15, 2000

Mr. Kenichiro Nakata, General Manager  
Intellectual Property Division  
Sony Corporation  
6-7-35 Kitashinagawa, Shinagawa-ku,  
Tokyo, 141  
Japan

Re: U.S. Patent Appln No. : 09/048,076  
Your Reference No. : S98P297US00  
Our Reference No. : 7217/55493'

Dear Mr. Nakata:

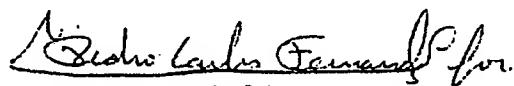
I am happy to report that the Patent Office has allowed this application and accordingly a patent will be issued in due course. I am enclosing for your files a copy of the Notice of Allowance from the Patent Office.

The Issue Fee is due on October 27, 2000. Unless we hear further from you we shall pay this fee shortly before it is due.

We look forward to sending you the Original Letters Patent.

Best regards.

Sincerely,

  
Jay H. Maioli

JHM:jg  
Enc.

## TRANSMITTAL

to: Box ISSUE FEE  
Assistant Commissioner for Patents  
Washington, D.C. 20231

RECEIVED

JUN 29 2006

**MAILING INSTRUCTIONS:** This form should be used for transmitting the ISSUE FEE. Blocks 1 through 4 should be completed where appropriate. All further correspondence including the ISSUE FEE receipt, the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with any corrections or use Block 1)

JAY H MAIOLI  
COOPER & DUNHAM  
1185 AVENUE OF THE AMERICAS  
NEW YORK NY 10036

LM01/0727

OFFICE OF PATENTS  
Note: The certificate of mailing below can only be used for domestic mailings of the Issue Fee Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing.

## Certificate of Mailing

I hereby certify that this Issue Fee Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Box Issue Fee address above on the date indicated below.

Pedro C. Fernandez Reg No. 41,741

*Pedro Carlos Fernandez* (Depositor's name)

(Signature)

October 23, 2000

(Date)

2741 10/27/00

APPLICATION NO.	FILING DATE	TOTAL CLAIMS	EXAMINER AND GROUP ART UNIT	DATE MAILED
09/048,076	03/26/98	005	AZAD, A	
First Named Applicant	MAEDA,		35 USC 154(b) term ext.	0 Days.
ITLE OF INVENTION	VECTOR SEARCH METHOD			

ATTY'S DOCKET NO.	CLASS-SUBCLASS	BATCH NO.	APPLN. TYPE	SMALL ENTITY	FEES DUE	DATE DUE
2 7217-55493	704-222.000	F83	UTILITY	NO	\$1210.00	10/27/00

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.383). Use of PTO form(s) and Customer Number are recommended, but not required.

Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

"Fee Address" indication (or "Fee Address" indication form PTO/SB/47) attached.

2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

Jay H. Maioli

1 \_\_\_\_\_  
2 \_\_\_\_\_  
3 \_\_\_\_\_

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)  
PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the PTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE **Sony Corporation**

(B) RESIDENCE: (CITY & STATE OR COUNTRY) **Tokyo, Japan**

Please check the appropriate assignee category indicated below (will not be printed on the patent)

Individual  corporation or other private group entity  government

4a. The following fees are enclosed (make check payable to Commissioner of Patents and Trademarks):

Issue Fee  
 Advance Order - # of Copies \_\_\_\_\_

4b. The following fees or deficiency in these fees should be charged to:

DEPOSIT ACCOUNT NUMBER **03-3125**  
(ENCLOSE AN EXTRA COPY OF THIS FORM)

Issue Fee  
 Advance Order - # of Copies \_\_\_\_\_

The COMMISSIONER OF PATENTS AND TRADEMARKS IS requested to apply the Issue Fee to the application identified above.

Authorized Signature:

*Pedro Carlos Fernandez*

(Date)

10/23/00

NOTE: The Issue Fee will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the Patent and Trademark Office.

Turnaround Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending on the needs of the individual case. Any comments on the amount of time required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C. 20231.

COOPER DUNHAM LLP  
PT. C. COUNT  
1185 AVENUE OF THE AMERICAS  
NEW YORK, NY 10036

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56457

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P 44148

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HSBC Bank USA

(3)

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DATE	TO THE ORDER OF	CHECK AMOUNT
10/23/00	COMMISSIONER OF PATENTS AND TRADEMARKS	\$1,480.00

202120-148

HD3

Applicant ISSUE FEES FOR October 2000

Client Sony (7217) File No. JHM:jg Atty. \_\_\_\_\_

Date October 23, 2000

Kindly acknowledge receipt of the accompanying

7217/55493	09/048,076	10/27/00	\$1,240.00
7217/56457	09/111,403	10/27/00	\$1,240.00

check #

P 44148

\$2,480.00

by placing your receiving date stamp hereon and returning to us.



7217/55493

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Yuji MAEDA et al.  
Serial No.: 09/048,076  
Filed : March 26, 1998  
For : VECTOR SEARCH METHOD  
Group A.U.: 2741

RECEIVED  
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TC 2700 MAIL ROOM

I hereby certify that this paper is being deposited this date with the U.S. Postal Service in First class mail addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Jay H. Maioli  
Reg. No. 27,213

Date  
5.10.00

May 10, 2000  
1185 Avenue of the Americas  
New York, NY 10036  
(212) 278-0400

LETTER WITH PROPOSED DRAWING CHANGES

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Applicants hereby propose to amend the drawings in the above-identified application as indicated in red on the copies submitted herewith.

More specifically, Applicants propose to amend Figs. 1-4 by designating them with the label --(Prior Art)--.

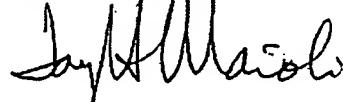
Furthermore, Applicants propose to amend Fig. 3 by

7217/55493

changing the name of block 330 from "ERROE CORRECTION CODING" to  
--ERROR CORRECTION CODING--.

Upon approval of the herein-proposed drawing changes  
and the issuance of a Notice of Allowance, Applicants will  
promptly attend to correcting the formal drawings in accordance  
with present Office practices.

Respectfully submitted,  
COOPER & DUNHAM LLP



Jay H. Maioli, Reg. No. 27,213

JHM/RAD:kif  
encl.

Dkt. 7217/55493

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Yuji MAEDA et al.

Serial No. : 09/048,076

Group Art Unit 2741

Filed : March 26, 1998

Examiner A. Azad

For : VECTOR SEARCH METHOD

DECLARATION OF MICHELLE METRI

I, MICHELLE METRI, declare that:

1. I have been employed as a clerk in the docketing department of Cooper & Dunham LLP ("the firm") from September 13, 2005, to the present.
2. My employment duties in that capacity include reviewing incoming communications from the United States Patent and Trademark Office (USPTO) and entering relevant information about due dates into the firm's computerized docket system which is PC Master, a system by MDC (Master Data Corporation). For example, when the firm receives an Office Action in a pending U.S. patent application, I input into the docket system (for that patent application, identified by the firm's docket number), the response (action) due, the response due date, and the maximum available extension due date, if any. When a response to that Office Action is mailed to the USPTO, the firm's docketing department receives a copy of the return card mailed with the response, and the mailing date that appears on the card is entered into the computerized docket system. This information is permanently stored in the computerized docket system, and is sortable and printable by docket number, i.e., by application.

3. Before the beginning of each month, the computerized docket system prints out, for each attorney of the firm, a "Monthly Docket Sheet" listing in chronological order all due dates for actions to be taken in the USPTO for which that attorney is responsible during that month. These due dates are derived from the data entered as described numbered paragraph 2 above. In the same way, before the beginning of each week, the computerized docket system prints out a "Weekly Docket Sheet" for each attorney of the firm, listing in chronological order all that attorney's USPTO due dates for the coming week. The Monthly and Weekly Docket Sheets are distributed to the attorneys to whom they respectively pertain, in advance of the first day of the period to which they relate.

4. I am informed and believe that the procedure described above has been employed, without substantial change, at least since a time prior to the filing date of the above-identified application.

5. Attached hereto as EXHIBIT A is a photocopy of a printout of the docketing information from our docket system for the above-identified application (application No. 09/048,076, firm docket No. 55493. "Act Due Date" entries are the due dates that (with an identification of the application by docket number, and a short description of the action to be taken) are printed out on Monthly Docket Sheets as described above. "Taken Dt" entries are the dates of mailing of a response as entered by the docketing department based on a copy of a return card. All "Act Due Date" entries would have appeared on the Monthly and Weekly Docket Sheets produced for the responsible attorney (in this case, shown as Jay H. Maioli), at least if no response was mailed ("Taken Dt") before the applicable Monthly or Weekly Docket Sheet was produced.

6. EXHIBIT A shows that, for the above-identified application, the docketing department entered the Action description "DRAWINGS REQUIRED OR DUE" in the computerized docket system, with an "Act Due Date" of 27OC2000 (October 27, 2000). As a result of this entry, an "Act Due Date" for "DRAWINGS REQUIRED OR DUE" should have been included on Mr. Maioli's Monthly Docket Sheet for October 2000 and on his applicable Weekly Docket Sheet.

7. EXHIBIT A also shows that, for the above-identified application, the docketing department entered the Action description "ISSUE FEE DUE" in the computerized docket system, with an "Act Due Date" of 27OC2000 (October 27, 2000), and entered a "Taken Dt" of "23OC2000" (October 23, 2000) for this action, meaning that the docketing department received a copy of a return card showing that the issue fee was mailed to the USPTO on October 23, 2000. Since the "Taken Dt" was October 23, 2000, an "Act Due Date" of October 27 for "ISSUE FEE DUE" should have been included on Mr. Maioli's Monthly Docket Sheet for October 2000 and on his applicable Weekly Docket Sheet.

8. Additionally, EXHIBIT A shows that, for the above-identified application, the docketing department entered the Action description "DRAWING EXTENSION" in the computerized docket system, with an "Act Due Date" of 27JA2001 (January 27, 2001). As a result of this entry, an "Act Due Date" for "DRAWING EXTENSION" should have been included on Mr. Maioli's Monthly Docket Sheet for January 2000 and on his applicable Weekly Docket Sheet.

9. EXHIBIT A further shows that, for the above-identified application, the docketing department entered the Action description "NOTICE OF ABANDONMENT" in the computerized docket system, with a "Taken Dt" of 12FE 2001 (February 12, 2001), meaning

that the docketing department received a document so titled and dated from the USPTO for the above-identified application. In addition, EXHIBIT A shows that an "Act Due Date" of 27AP2001 (April 27, 2001) was entered for "2 MO RESP TO NTC ABAN - IF DE," i.e., 2-month response to Notice of Abandonment. As a result, an "Act Due Date" for "2 MO RESP TO NTC ABAN" should have been included in Mr. Maioli's April 2001 Monthly and applicable Weekly Docket Sheets. No "Taken Dt" is shown for the 2 MO RESP TO NTC ABAN - IF DE entry.

I hereby declare further that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.



MICHELLE METRI

Date 3/26/07

2/8/2007

## Patent Information Print

Docket No	55493	Application #	09/048076
Country	United States	Application Dt	26MR1998
Case Type	REGULAR CASE TYPE	Patent No	
Relation Type	ORIGINAL OR PATENT CASE	Grant Dt	
Filing Type	NATIONAL CASE	Publication #	
Filing No		Publication Dt	
Attorney	JAY H. MAIOLI	Assigned	
Agent		Expiration Dt	
Client\Division	SONY CORP. PATENTS DIVISION	Conv Type	
Current Owner	7217	Tax Base Dt	
Prev Own		Next Tax Dt	
Status	Filed	Associate	
First Filing Dt		Oper Grp	
Sub Stat		Ag Ref No	
Sub Stat Dt		Verified	
Parent Country	Japan	Customer	N
Parent Filing Dt		Create Dt	D4PP
Parent No	9-078615	Update Dt	26MR1998
Parent Grant Dt		Update Tm	26FE2001
Total Claims		Update User	1842
Ind. Claims		Update Type	SMB
			A

## \*\*Actions\*\*

Action	CHECK DECL./REFUND(if needed	Comp Dt
Act Due Date	26MY1998	Resp Atty #1
Taken Dt	26JE1998	Resp Atty #2
DeadLn Dt		
Action	INFORMATION DISCLOSURE STATE	Comp Dt
Act Due Date	26JE1998	Resp Atty #1
Taken Dt	01OC1998	Resp Atty #2
DeadLn Dt		
Action	MISSING PARTS DUE	Comp Dt
Act Due Date	27JE1998	Resp Atty #1
Taken Dt	26JE1998	Resp Atty #2
DeadLn Dt		
Action	EXTENSION TO RESP TO NTC OF	Comp Dt
Act Due Date	27OC1998	Resp Atty #1
Taken Dt		Resp Atty #2
DeadLn Dt		
Action	INFORMATION DISCLOSURE STATE	Comp Dt
Act Due Date	28OC1998	Resp Atty #1
Taken Dt	01OC1998	Resp Atty #2
DeadLn Dt		
Action	Bmo FOREIGN FILING REMINDER	Comp Dt
Act Due Date	26NO1998	Resp Atty #1
Taken Dt		Resp Atty #2
DeadLn Dt		
Action	10mo FOREIGN FILING REMINDE	Comp Dt
Act Due Date	26JA1999	Resp Atty #1
Taken Dt		Resp Atty #2
DeadLn Dt		
Action	11mo FOREIGN FILING REMINDER	Comp Dt
Act Due Date	26FE1999	Resp Atty #1
Taken Dt		Resp Atty #2
DeadLn Dt		
Action	12mo FOREIGN FILING DEADLINE	Comp Dt
Act Due Date	26MR1999	Resp Atty #1
Taken Dt		Resp Atty #2
DeadLn Dt		
Action	3 MONTH RESPONSE DUE	Comp Dt
Act Due Date	10FE2000	Resp Atty #1
Taken Dt	10MY2000	Resp Atty #2
DeadLn Dt		
Action	6 MONTH RESPONSE DUE	Comp Dt
Act Due Date	10MY2000	Resp Atty #1
Taken Dt	10MY2000	Resp Atty #2
DeadLn Dt		
Action	ISSUE FEE DUE	Comp Dt
Act Due Date	27OC2000	Resp Atty #1
Taken Dt	23OC2000	Resp Atty #2
DeadLn Dt		
Action	DRAWINGS REQUIRED OR DUE	Comp Dt
Act Due Date	27OC2000	Resp Atty #1

Taken Dt \_\_\_\_\_ Resp Atty # \_\_\_\_\_  
DeadLn Dt \_\_\_\_\_

Action	DRAWING EXTENSION	Comp Dt
Act Due Date	27JA2001	Resp Atty #1
Taken Dt		Resp Atty #2
Deadline Dt		

Action	2MO RESP TO NTC ABAN - IF DE	Comp Dt
Act Due Date	27AP2001	Resp Atty #1
Taken Dt		Resp Atty #2
Deadline Dr		

Action	FILING RECEIPT RECEIVED	Comp Dt
Act Due Date		Resp Atty #1
Taken Dt	16JL1998	Resp Atty #2
DeadLn Dt		

Action	NOTICE OF ABANDONMENT	Comp Dt
Act Due Date		Resp Atty #1
Taken Dt	12FE2001	Resp Atty #2
Deadline Dt		

### Act Notes

NOTICE OF ABANDONMENT SENT BY PTO

Action	CORRECTED FILING RECEIPT	
Act Due Date		Comp Dt
Taken Dt	17SE1998	Resp Atty #1
Deadline Dt		Resp Atty #2

**\*\*Inventors\*\***

Inv Name Yuji Maeda Assigned

Inv Name Shuichi Maeda Assigned

\*\*Title\*\*

Title  
VECTOR SEARCH METHOD (S98P297US00)



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

(6)

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO.
09/048, 076	03/26/98	NAEDA	Y 7217-55493

7542/0212

EXAMINER
----------

AZAD, A

ART UNIT	PAPER NUMBER
2741	15

DATE MAILED: 02/12/01

### NOTICE OF ABANDONMENT

This application is abandoned in view of:

Applicant's failure to timely file a proper response to the Office letter mailed on \_\_\_\_\_.

A response (with a Certificate of Mailing or Transmission of \_\_\_\_\_) was received on \_\_\_\_\_, which is after the expiration of the period for response (including a total extension of time of \_\_\_\_\_ month(s)) which expired on \_\_\_\_\_.

A proposed response was received on \_\_\_\_\_, but it does not constitute a proper response to the final rejection.

(A proper response to a final rejection consists only of: a timely filed amendment which places the application in condition for allowance; a Notice of Appeal; or the filing of a continuing application under 37 CFR 1.62 (FWC).

No response has been received.

Applicant's failure to timely pay the required issue fee within the statutory period of three months from the mailing date of the Notice of Allowance.

The issue fee (with a Certificate of Mailing or Transmission of \_\_\_\_\_) was received on \_\_\_\_\_.

The submitted issue fee of \$ \_\_\_\_\_ is insufficient. The issue fee required by 37 CFR 1.18 is \$ \_\_\_\_\_.

The issue fee has not been received.

Applicant's failure to timely file new formal drawings as required in the Notice of Allowability.

Proposed new formal drawings (with a Certificate of Mailing or Transmission of \_\_\_\_\_) were received on \_\_\_\_\_.

The proposed new formal drawings filed \_\_\_\_\_ are not acceptable.

No proposed new formal drawings have been received.

The express abandonment under 37 CFR 1.62(g) in favor of the FWC application filed on \_\_\_\_\_.

The letter of express abandonment which is signed by the attorney or agent of record, the assignee of the entire interest, or all of the applicants.

The letter of express abandonment which is signed by an attorney or agent (acting in a representative capacity under 37 CFR 1.34(a)) upon the filing of a continuing application.

The decision by the Board of Patent Appeals and Interferences rendered on \_\_\_\_\_ and because the period for seeking court review of the decision has expired and there are no allowed claims.

The reason(s) below:



Docket No.: SONYJP 3.0-1211  
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
Maeda et al.

Application No.: 09/048,076

Group Art Unit: 2741

Filed: March 26, 1998

For: VECTOR SEARCH METHOD

MS: Petitions  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Attn: Paul Shanoski

**DECLARATION OF FUMIHIKO MORIYA**

Dear Sir:

I, Fumihiko Moriya, declare as follows:

1. I am employed as Senior General Manager, Intellectual Property Division of Sony Corporation, 1-7-1 Konan, Minato-ku, Tokyo, 108-0075, Japan, and have held that position since April 1, 2006. Sony Corporation ("Sony") is the assignee of the above-captioned U.S. patent application.

2. One of the law firms used by Sony to prosecute patent applications in the United States is the firm of Cooper & Dunham, LLP in New York, New York. Cooper & Dunham was responsible for filing and prosecuting the above-captioned patent application on behalf of Sony. Sony's primary contact for patent prosecution matters at Cooper & Dunham was Jay Maioli.

3. At some time after August 15, 2000, Sony received a letter from Jay Maioli advising that the Patent Office had issued a Notice of Allowance in connection with this patent application. A copy of that letter is attached hereto as

Exhibit 1. It has been Sony's longstanding practice that, when it received such a letter from Mr. Maioli, no specific reply to Mr. Maioli was required, and Mr. Maioli would see to the payment of the issue fee and any outstanding requirements in order to obtain issuance of the patent. Sony presumed that this longstanding practice would be and was followed with this patent application.

4. At the time the Notice of Allowance issued for this application on July 27, 2000, Sony had approximately 40,000 patent applications pending throughout the world, including approximately 20,000 patent applications pending in Japan and about 5,000 patent applications pending in the United States. Due to this large volume of patent applications, it is simply impossible for Sony to accurately monitor the status of each application, due dates for taking action in any national patent offices, and any outstanding requirements. For that reason, Sony relies upon its local agents in each country to inform Sony of any outstanding requirement and the due date therefor.

5. In December 2005, Yoshihide Nakamura, my predecessor as Senior General Manager, Intellectual Property Division of Sony, wrote to Mr. Maioli and requested that he transfer a large number of patent prosecution files he was handling for Sony to the law firm of Lerner, David, Littenberg, Krumholz and Mentlik, LLP ("Lerner David"). In total, it was requested that Mr. Maioli transfer the files for over 800 patent applications to the Lerner David firm.

6. As part of their review of these transferred files, Robert B. Cohen of Lerner David sent a letter dated March 8, 2006 to Mr. Nakamura informing him that the above-captioned patent application had apparently been abandoned as a result of the failure of Cooper & Dunham to submit new formal drawings required by the Patent Office. A copy of Mr. Cohen's letter is attached hereto as Exhibit 2.

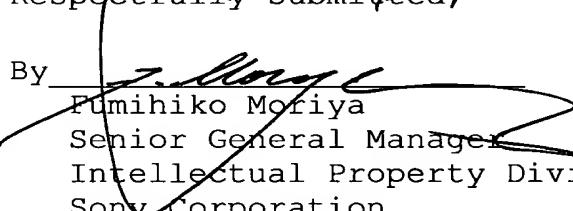
7. Sony conducted an internal review of its files and determined that it was never the intention of Sony to abandon this application. Accordingly, on May 30, 2006, I wrote a letter to Mr. Cohen requesting that he file a Petition to Revive this application. A copy of that letter is attached hereto as Exhibit 3.

8. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under § 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of this application or any patent issuing therefrom.

Dated: May 11, 2007

Respectfully submitted,

By

Fumihiro Moriya

Senior General Manager  
Intellectual Property Division  
Sony Corporation

COOPER & DUNHAM LLP

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FOUNDED 1887  
[www.cooperdunham.com](http://www.cooperdunham.com)

August 15, 2000

Mr. Kenichiro Nakata, General Manager  
Intellectual Property Division  
Sony Corporation  
6-7-35 Kitashinagawa, Shinagawa-ku,  
Tokyo, 141  
Japan

Re: U.S. Patent Appln No. : 09/048,076  
Your Reference No. : S98P297US00  
Our Reference No. : 7217/55493

Dear Mr. Nakata:

I am happy to report that the Patent Office has allowed this application and accordingly a patent will be issued in due course. I am enclosing for your files a copy of the Notice of Allowance from the Patent Office.

The Issue Fee is due on October 27, 2000. Unless we hear further from you we shall pay this fee shortly before it is due.

We look forward to sending you the Original Letters Patent.

Best regards.

Sincerely,

*Pedro Carlos Fernandes for  
Jay H. Maioli*

JHM:jg  
Enc.

LERNER  
DAVID  
LITTENBERG  
KRUMHOLZ  
&  
MENTLIK  
LLP

600 SOUTH AVENUE WEST • WESTFIELD, NEW JERSEY 07090  
908.654.5000 • FAX 908.654.7866 • WWW.LDLKM.COM

2

PATENTS, TRADEMARKS, COPYRIGHTS & UNFAIR COMPETITION

Robert B. Cohen  
908.518.6316  
rcohen@ldkm.com

March 8, 2006

**BY FACSIMILE – 011-81-3-5435-3043**  
**CONFIRMATION BY MAIL**

Mr. Yoshihide Nakamura  
Senior General Mgr., Intellectual Property Div.  
Sony Corporation  
Osaki East Technology Center  
Gate City Osaki 1-11-1 Osaki  
Shinagawa-ku, Tokyo 141-0032 JAPAN

Re: SONYJP 3.0-1211  
U.S. Appln. No. 09/048,076  
VECTOR SEARCH METHOD  
Your Ref. No.: S98P297US00

Dear Mr. Nakamura:

We recently received the above-captioned file as a transfer from Cooper & Dunham. When reviewing the file, we found a problem.

The Notice of Allowance which issued on July 27, 2000 included a requirement for corrected drawings and new formal drawings. When the Cooper & Dunham firm paid the issue fee on October 23, 2000, they neglected to submit the new formal drawings required by the Patent Office. As a result, a Notice of Abandonment was apparently issued, although a copy of same is not in the file.

We are prepared to file a petition to revive the application based on unintentional delay. The fee for the petition is \$1,500, and since the Notice of Abandonment issued about five years ago, it is questionable whether such petition will be granted. Therefore, before proceeding, we seek your authorization to file the petition with the Patent Office.



Mr. Yoshihide Nakamura  
March 8, 2006  
Page 2

We look forward to receiving your instructions. Should you have any questions regarding this matter, please do not hesitate to contact us.

Sincerely,

LERNER, DAVID, LITTENBERG,  
KRUMHOLZ & MENTLIK, LLP



ROBERT B. COHEN

RBC/mh:emb

06-05-30; 08:38PM;

LERNER, LITTEBERG ;

# 1  
RBC 13

**SONY**

Intellectual Property Department, Sony Corporation  
Gate City Osaki 1-11-1 Osaki, Shinagawa-ku, Tokyo, 141-0032 Japan

Telephone: +81-3-5435-3910  
Fax: +81-3-5435-3043

May 30, 2006

Mr. Robert B. Cohen  
LERNER, DAVID, LITTENBERG, KRUMHOLZ & MENTLIK, LLP.  
600 South Avenue West, Westfield,  
New Jersey 07090  
U.S.A.

Re: SONYJP 9,0-001 General Correspondence

Dear Mr. Robert B. Cohen,

In connection with the above identified case, we acknowledge receipt of and thank you for your letter of March 24, 2006.

Will you please file a petition to revive with respect to items 3 and 5 shown below.  
Sorry for delaying our instruction.

	Your Ref. No.	Application No.	Our Ref. No.
3.	SONY JP 3.0-1203	08/736,546	S96P0908US00
5.	SONY JP 3.0-1211	09/048,076	S96P0297US00

/1546 C  
/1475 C

We appreciate your cooperation in this matter.

Very truly yours,

*Yoshihiko Oideawa*

for

Fumihiro Moriya  
Senior General Manager  
Intellectual Property Division

FM:YO:

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